Form 210A (10/06)

United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

than for security, of the claim referenced in this evide	nce and notice.
Name of Transferee	Name of Transferor

Deutsche Bank AG, London Branch

The Royal Bank of Scotland Plc

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch Winchester House, 1 Great Winchester Street London EC2N 2DB

Tel: +44 20 7547 2400 Fax: +44 113 336 2010 Attention: Michael Sutton

E-mail: Michael.sutton@db.com

Court Claim # (if known): See attached Schedule

Amount of Claim (transferred):

(i) See attached Schedule

(plus all interest, costs and fees relating to these claims)

Date Claim Filed: See attached Schedule

Tel: N/A

Last Four Digits of Acct. #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Tel: +44 20 7547 2400

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: 14 JUAL 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Alex Darbyshire Vice President Simon Glennie Director

		LBHI Claim	Date Claim Filed		
		Number		Limitin	al/Notional Amount
	XS0331504745	40647	Oct 16, 2009	USD	
1	XS0326608279	41347	Oct 19, 2009	1	130,000.00
	XS0326708491	42084	Oct 19, 2009	USD	140,000.00
	XS0349511872	42161	Oct 19, 2009	USD	420,000.00
1	XS0331769538	43050	Oct 21, 2009	USD	400,000.00
	XS0327940929	43114	Oct 21, 2009		250,000.00
1	XS0334922860	43809	Oct 22, 2009	AUD	130,000.00
1	XS0326608279	44051	Oct 22, 2009	HKD	500,000.00
	XS0348407411	44052	Oct 22, 2009	USD	90,000.00
1	XS0339560293	44075	Oct 22, 2009	HKD	900,000.00
	XS0334922860	44190	Oct 22, 2009	USD	130,000.00
	XS0337553688	44192	Oct 22, 2009	HKD	100,000.00
ĺ	XS0331400530	44193	I .	USD	100,000.00
	XS0331400027	44194	Oct 22, 2009	USD	20,000.00
1	XS0331769538	44195	Oct 22, 2009 Oct 22, 2009	USD	20,000.00
	XS0327940929	44291	Oct 22, 2009	USD	40,000.00
	XS0339560293	44292	Oct 22, 2009	AUD	150,000.00
	XS0339560376	44293	Oct 22, 2009	USD	170,000.00
	XS0336927909	44297	Oct 22, 2009	USD	370,000.00
	XS0341160082	44530	Oct 22, 2009	USD	200,000.00
1	XS0326026498	44532	Oct 22, 2009	HKD	50,000.00
1	XS0331400530	44993	Oct 23, 2009	USD	1,000,000.00
	XS0336415913	44994	Oct 23, 2009	USD	300,000.00
]	XS0331400027	44995	Oct 23, 2009	USD	260,000.00
ŀ	XS0326608279	44996	Oct 23, 2009	USD	300,000.00
]	XS0349511872	44997	Oct 23, 2009	USD	330,000.00
	XS0331400530	45130	Oct 23, 2009	USD	250,000.00
1	XS0331769454	45132	Oct 23, 2009	USD	100,000.00
	XS0338525172	45133	Oct 23, 2009	USD	300,000.00 180,000.00
[XS0337553688	45134	Oct 23, 2009	USD	380,000.00
[XS0341160082	45135	Oct 23, 2009	USD	380,000.00
	XS0326026498	45136	Oct 23, 2009	HKD	2,900,000.00
	XS0327940929	45246	Oct 23, 2009	AUD	200,000.00
	XS0336415913 XS0336927909	45263	Oct 23, 2009	USD	700,000.00
	XS0326608279	45271	Oct 23, 2009	USD	110,000.00
	XS0320608279 XS0331769538	45273	Oct 23, 2009	USD	130,000.00
	XS0325786084	45275	Oct 23, 2009	USD	130,000.00
	XS0331769454	45276	Oct 23, 2009	HKD	3,000,000.00
	XS0326026225	45289	Oct 23, 2009	USD	160,000.00
	XS0326708491	45296 45206	Oct 23, 2009	USD	70,000.00
	XS0331769538	45396 45401	Oct 23, 2009	USD	150,000.00
	XS0331769538	45405	Oct 23, 2009	USD	100,000.00
	XS0348407411	45443	Oct 23, 2009	USD	430,000.00
	XS0349512250	45444	Oct 23, 2009	HKD	500,000.00
	XS0334922860	45445	Oct 23, 2009	HKD	300,000.00
	XS0331769454	45447	Oct 23, 2009	HKD	200,000.00
	XS0336927909	45597	Oct 23, 2009	USD	40,000.00
	XS0348407411	45751	Oct 23, 2009	USD	100,000.00
	XS0348407411	45752	Oct 26, 2009	HKD	3,000,000.00
	XS0336927909	45760	Oct 26, 2009	HKD	1,000,000.00
	XS0348407411	45766	Oct 26, 2009 Oct 26, 2009	USD	100,000.00
	XS0335743398	45767	Oct 26, 2009 Oct 26, 2009	HKD	1,000,000.00
	XS0336645089	45768	Oct 26, 2009	HKD	500,000.00
	XS0348407411	45771	Oct 26, 2009 Oct 26, 2009	USD	160,000.00
	XS0349512250	45777	Oct 26, 2009 Oct 26, 2009	HKD HKD	3,000,000.00
	XS0326026225				900,000.00

XS0335743398	45800	Oct 26, 2009	HKD	700 000 00 l
XS0336250229	45801	Oct 26, 2009	USD	520,000.00
XS0326866307	45802			200,000.00
XS0328586515	45803	Oct 26, 2009	HKD	510,000.00
XS0326708491	45804	Oct 26, 2009	USD	20,000.00
XS0331400027	45805	Oct 26, 2009	USD	20,000.00
XS0341160082	45806	Oct 26, 2009 Oct 26, 2009	USD	20,000.00
XS0336927909	45807	Oct 26, 2009	USD	20,000.00
XS0335743398	45814	Oct 26, 2009	HKD	20,000.00
XS0348407411	45824	Oct 26, 2009	HKD	2,000,000.00
XS0336415913	45836	Oct 26, 2009	USD	4,000,000.00
XS0326608279	45837	Oct 26, 2009	USD	70,000.00
XS0326026498	45838	Oct 26, 2009	1	100,000,00
XS0325786084	45839	Oct 26, 2009	HKD	800,000.00
XS0336250229	45843	1	HKD	00.000,008
XS0326608352	į	Oct 26, 2009	USD	160,000.00
XS0335743398	45854 45866	Oct 26, 2009	HKD	2,000,000.00
XS0332153807	45894	Oct 26, 2009	HKD	1,220,000.00
XS0326865671	45916	Oct 26, 2009 Oct 26, 2009	HKD	780,000.00
XS0336415913	45918	Oct 26, 2009	USD	100,000.00
XS0326608352	45919	Oct 26, 2009	HKD	70,000.00
XS0329337348	45920	Oct 26, 2009	HKD	500,000.00
XS0349511872	46870	Oct 26, 2009	USD	400,000.00
XS0331769454	46892	Oct 26, 2009	USD	100,000.00
XS0335743398	46918	Oct 26, 2009	HKD	400,000.00
XS0326608352	46944	Oct 26, 2009	HKD	1,000,000.00
XS0338525172	47065	Oct 26, 2009	USD	2,500,000.00 160,000.00
XS0325786084	47351	Oct 26, 2009	HKD	1,200,000.00
XS0339560376	47352	Oct 26, 2009	HKD	500,000.00
XS0349512250	47353	Oct 26, 2009	HKD	1,200,000.00
XS0331504745	47361	Oct 26, 2009	USD	70,000.00
XS0334922860	47362	Oct 26, 2009	HKD	500,000.00
XS0336250229	47373	Oct 26, 2009	USD	80,000.00
XS0335743398	47374	Oct 26, 2009	HKD	500,000.00
XS0325786084	47380	Oct 26, 2009	HKD	500,000.00
XS0329337348	47501	Oct 27, 2009	HKD	300,000.00
XS0331400027	47608	Oct 27, 2009	USD	120,000.00
XS0326608352 XS0326026225	47619	Oct 27, 2009	HKD	5,630,000.00
XS0326866307	47741	Oct 27, 2009	USD	80,000.00
XS0326026498	47742	Oct 27, 2009	HKD	2,100,000.00
XS0331400027	47750	Oct 27, 2009	HKD	400,000.00
XS0335743398	47751	Oct 27, 2009	USD	50,000.00
XS0339560376	47753	Oct 27, 2009	HKD	500,000.00
XS0326608279	47754 47878	Oct 27, 2009	HKD	500,000.00
XS0326608352	47879	Oct 27, 2009	USD	200,000.00
XS0326866307	47979	Oct 27, 2009	HKD	1,500,000.00
XS0331400027	48604	Oct 27, 2009	HKD	540,000.00
XS0331400530	48605	Oct 27, 2009	USD	30,000.00
XS0331769454	48606	Oct 27, 2009 Oct 27, 2009	USD	30,000.00
XS0335743398	48608	Oct 27, 2009	USD	50,000.00
XS0339560293	48651	Oct 27, 2009	HKD	1,000,000.00
XS0334922860	48652	Oct 27, 2009 Oct 27, 2009	HKD	80,000.00
XS0326608279	48667	Oct 27, 2009		470,000.00
XS0328586515	48744	Oct 27, 2009	USD	30,000.00
XS0331769538	49430	Oct 27, 2009	USD	200,000.00
XS0336250229	49467	Oct 27, 2009	USD	170,000.00
XS0336927909	49518	Oct 27, 2009	USD	200,000.00
XS0326026498	49525	Oct 27, 2009	HKD	180,000.00 650,000.00
	•		1 11 15	000,000.00

XSU326U26225 XSU326U26225 XSU331769454 A9966 Oct 27, 2009 USD 100,000,00 XSU331769454 A9966 Oct 27, 2009 USD 100,000,00 XSU331769538 50382 Cct 28, 2009 USD 100,000,00 XSU331769538 50382 Oct 28, 2009 USD 100,000,00 XSU331400530 SSU331400530	V000000000	1	•		
XS0331769454 49965	XS0326026225	49575	Oct 27, 2009	lusp	120,000,00
Section	XS0331769538	49580	•	1	
Section	XS0331769454	l .		ı	
NS03316927809				1	
NS0331769538		1 7 7 7 7			
X80334922860					180,000.00
September Sept				1	350,000.00
X80331400027		4			1,000,000.00
NS0336250229		1		USD	140,000.00
Section			Oct 28, 2009	I I	170,000.00
XS0326026498 50836		·		USD	
SOS		1		USD	
Section Sect		· · · · · · · · · · · · · · · · · · ·		HKD	
NS0326026498 50886				HKD	
Section Sect			Oct 28, 2009	USD	
XS0331400530 XS0331769538 S0899 Oct 28, 2009 HKD 130,000.00 XS0329337348 S0899 Oct 28, 2009 HKD 1,000,000.00 XS0336799845 S1250 Oct 28, 2009 USD 100,000.00 XS0366799845 S1251 Oct 28, 2009 USD T5,000.00 XS034879845 S1251 Oct 28, 2009 USD T6,000.00 XS034807411 S1601 Oct 28, 2009 HKD 1,000,000.00 XS0349512250 S1628 Oct 28, 2009 HKD 500,000.00 XS0327940929 S17772 Oct 28, 2009 HKD 1,000,000.00 XS0331769538 S5132 Oct 28, 2009 HKD 1,000,000.00 XS0331769538 S5132 Oct 28, 2009 USD 130,000.00 XS0331769538 S5132 Oct 28, 2009 USD 130,000.00 XS0331769538 S5132 Oct 28, 2009 USD 130,000.00 XS0331769538 S5132 Oct 29, 2009 USD 130,000.00 XS03331769538 S5132 Oct 29, 2009 USD 130,000.00 USD 140,000.00 USD 150,000.00 XS0331400530 S6522 Oct 29, 2009 USD 150,000.00 XS0331604745 S6727 Oct 29, 2009 USD 140,000.00 XS033169444 S6968 Oct 29, 2009 USD 140,000.00 USD 140,000.00 XS0331694544 S6968 Oct 29, 2009 USD 140,000.00 US		50885	Oct 28, 2009	HKD	
XS03391769538		50889	Oct 28, 2009	USD	
XS0331769538 S0899		50898		1	
NEONSBOYT99845 51250		50899		1	
XS0369798945 51250		50904	Oct 28, 2009	USD	
XS0369/99845 51251 Oct 28, 2009 USD 80,000.00 XS0348407411 51601 Oct 28, 2009 HIKD 500,000.00 XS0349512250 51628 Oct 28, 2009 HKD 1,000,000.00 XS0327940929 51772 Oct 28, 2009 AUD 200,000.00 XS0331504745 517773 Oct 28, 2009 USD 130,000.00 XS0331769538 55132 Oct 29, 2009 USD 220,000.00 XS0331400530 55154 Oct 29, 2009 USD 56,000.00 XS03314000530 55154 Oct 29, 2009 USD 500,000.00 XS03349511872 55213 Oct 29, 2009 USD 500,000.00 XS033650229 55222 Oct 29, 2009 USD 500,000.00 XS0336680279 55038 Oct 29, 2009 USD 150,000.00 XS0336645088 56521 Oct 29, 2009 USD 150,000.00 XS0331400530 56522 Oct 29, 2009 USD 70,000.00 XS0331504745 56727 Oct 2		51250			
XS0334807411			1		
XS03349512250		51601			
X50337940929 51772 Oct 28, 2009 HKD 1,800,000.00 X50331504745 51773 Oct 28, 2009 USD 130,000.00 X50331769638 55132 Oct 28, 2009 USD 220,000.00 X50331400530 55154 Oct 29, 2009 USD 540,000.00 X50331400530 55154 Oct 29, 2009 USD 500,000.00 X50331400027 55214 Oct 29, 2009 USD 500,000.00 X50331400027 55214 Oct 29, 2009 USD 500,000.00 X50336511872 55213 Oct 29, 2009 USD 500,000.00 X50336511872 55222 Oct 29, 2009 USD 500,000.00 X50336511872 55222 Oct 29, 2009 USD 60,000.00 X50336511872 55222 Oct 29, 2009 USD 60,000.00 X50336511872 55283 Oct 29, 2009 USD 60,000.00 X5033650299 55283 Oct 29, 2009 USD 500,000.00 X5033650029 55038 Oct 29, 2009 USD 500,000.00 X5033645089 56521 Oct 29, 2009 USD 200,000.00 X50331400530 56522 Oct 29, 2009 USD 150,000.00 X50331504745 56727 Oct 29, 2009 USD 150,000.00 X50331504745 566727 Oct 29, 2009 USD 150,000.00 X5033169454 56968 Oct 29, 2009 USD 70,000.00 X5033169454 56968 Oct 29, 2009 USD 200,000.00 XS0331769454 56968 Oct 29, 2009 USD 70,000.00 XS03327940929 56728 Oct 29, 2009 USD 200,000.00 XS0332153807 57070 Oct 30, 2009 USD 100,000.00 XS0327940929 57100 Oct 30, 2009 USD 100,000.00 XS03327940929 57134 Oct 30, 2009 USD 20,000.00 XS0336250229 57134 Oct 30, 2009 USD 50,000.00 XS0336250229 57136 Oct 30, 2009 USD 50,000.00 XS0336250229 57136 Oct 30, 2009 USD 50,000.00 XS0336250229 57136 Oct 30, 2009 USD 50,000.00 XS0336250229 57196 Oct 30, 2009 USD 50,000.00 XS0336250229 57196 Oct 30, 2009 USD 50,000.00 XS0336250229 57196 Oct 30, 2009 USD 40,000.00 XS033625029 57199 Oct 30, 2009 USD 30,000.00 XS0336608436 57198 Oct 30, 2009 USD 30,000.00 XS0336608436 57213 Oct 30, 2009 USD 120,000.00 XS0336608436 57213 Oct 30, 2009 USD 120,000.00 XS0336608436 57213 Oct 30, 2009 USD 120,000.00 XS0336608436 57213 Oct 30, 2009 USD 150,000.00		51602		1	
XS0331504745				1.	
XS0331504745 XS0331769458 S5132 Oct 28, 2009 USD 130,000.00 XS0369799845 S5137 Oct 29, 2009 USD 220,000.00 XS0331400530 S5154 Oct 29, 2009 USD 540,000.00 XS03349511872 S5213 Oct 29, 2009 USD 540,000.00 XS03349511872 S5214 Oct 29, 2009 USD 500,000.00 XS0334500229 S5222 Oct 29, 2009 USD 60,000.00 XS0336250229 S5282 Oct 29, 2009 USD 60,000.00 XS03325786084 S5283 Oct 29, 2009 USD 60,000.00 XS03325608279 S6038 Oct 29, 2009 USD 60,000.00 XS0336645089 S6521 Oct 29, 2009 USD 60,000.00 XS0331504745 S6727 Oct 29, 2009 USD 150,000.00 XS0331504745 S6727 Oct 29, 2009 USD 140,000.00 XS0331504745 S6727 Oct 29, 2009 USD 80,000.00 XS0337940929 S6967 Oct 29, 2009 USD 80,000.00 XS0327940929 S6967 Oct 29, 2009 USD 80,000.00 XS0325841368 S7068 Oct 29, 2009 USD 100,000.00 XS033253807 S7070 Oct 30, 2009 USD 100,000.00 XS0332580229 S7100 Oct 30, 2009 USD 100,000.00 XS0334807411 S7110 Oct 30, 2009 USD 50,000.00 XS0334807411 S7110 Oct 30, 2009 USD 50,000.00 XS0334801887 S7135 Oct 20, 2009 USD 50,000.00 XS0334801411 S7110 Oct 30, 2009 USD 50,000.00 XS0334801411 S7110 Oct 30, 2009 USD 50,000.00 XS0334801488 S7068 Oct 30, 2009 USD 50,000.00 XS0334801411 S7110 Oct 30, 2009 USD 50,000.00 XS0334801411 S7110 Oct 30, 2009 USD 50,000.00 XS0334801411 S7110 Oct 30, 2009 USD 50,000.00 XS03348011872 S7135 Oct 30, 2009 USD 50,000.00 XS0334801888 S7186 Oct 30, 2009 USD 50,000.00 XS0334801888 S7186 Oct 30, 2009 USD 50,000.00 XS0334801888 S7186 Oct 30, 2009 USD 50,000.00 XS0336608436 S7136 Oct 30, 2009 USD 50,000.00 XS0336608436 S7136 Oct 30, 2009 USD 50,000.00 XS0336608436 S7136 Oct 30, 2009 USD 50,000.00 XS0336608436 S7198 Oct 30, 2009 USD 50,000.00 XS0336608436 S7198 Oct 30, 2009 USD 50,000.00 XS0336608436 S7210 Oct 30, 2009 USD 50,000.00 XS0336608436 S7210 Oct 30, 2009 USD 50,000.00 USD 50,000.00 SX0336608436 S7210 Oct 30, 2009 USD 50,000.00 U			Oct 28, 2009	AUD	
Name		51773		USD	
SS0331400530 S5154 Oct 29, 2009 USD S50,000.00		l .		USD	
National State	-	1			
SSD331400027 S5214		1	Oct 29, 2009	USD	
XS0349511872 S5222 Oct 29, 2009 USD 150,000.00 XS0336250229 S5282 Oct 29, 2009 USD 150,000.00 XS0326586084 S5283 Oct 29, 2009 USD 60,000.00 XS0336845089 S6521 Oct 29, 2009 USD 150,000.00 XS0331400530 S6522 Oct 29, 2009 USD 150,000.00 XS0331504745 S6727 Oct 29, 2009 USD 140,000.00 XS0331504745 S6728 Oct 29, 2009 USD 30,000.00 XS0331504745 S66728 Oct 29, 2009 USD 80,000.00 XS0331504745 S66728 Oct 29, 2009 USD 80,000.00 XS03327940929 S6967 Oct 29, 2009 USD XS0326608279 S7070 Oct 30, 2009 USD 20,000.00 XS0332153807 S7072 Oct 30, 2009 USD 220,000.00 XS0327940929 S7100 Oct 30, 2009 USD 220,000.00 XS03327940929 S71100 Oct 30, 2009 USD 220,000.00 XS03348407411 S7110 Oct 30, 2009 USD 200,000.00 XS0336250229 S7134 Oct 30, 2009 USD 50,000.00 XS0336400530 S7135 Oct 30, 2009 USD 50,000.00 XS03327859301 S7137 Oct 30, 2009 USD 50,000.00 XS03327859301 S7137 Oct 30, 2009 USD 50,000.00 XS033650229 S7198 Oct 30, 2009 USD 50,000.00 XS033650229 S7196 Oct 30, 2009 USD 50,000.00 XS0336500229 S7196 Oct 30, 2009 USD 50,000.00 XS0336500229 S7198 Oct 30, 2009 USD 50,000.00 XS0336608436 S7136 Oct 30, 2009 USD 50,000.00 XS0336608436 S7198 Oct 30, 2009 USD 30,000.00 XS0326608436 S7198 Oct 30, 2009 USD 30,000.00 XS0326608436 S7199 Oct 30, 2009 USD 30,000.00 XS0326608436 S7209 Oct 30, 2009 USD 30,000.00 XS0326608436 S7209 Oct 30, 2009 USD 30,000.00 XS0326608436 S7210 Oct 30, 2009 USD 10,000.00 XS0336608436 S7213 Oct 30, 2009 USD 10,000.00 USD 10,000.00 XS0336608436 S7213 Oct 30, 2009 USD 150,000.00 USD 150,000.00 XS0336608436 S72130 Oct 30, 2009 USD				USD	
XS0336250229 S5282				USD	
XS0325786084 55283				USD	
XS0326608279 56038 Oct 29, 2009 USD 200,000.00			Oct 29, 2009	USD	
SS0336845089 S6521			Oct 29, 2009	HKD	
XS0331400530 56522 Oct 29, 2009 USD 150,000.00 XS0331504745 56527 Oct 29, 2009 USD 30,000.00 XS0336927909 56728 Oct 29, 2009 USD 70,000.00 XS0327940929 56967 Oct 29, 2009 USD 70,000.00 XS0331769454 56968 Oct 29, 2009 USD 20,000.00 XS0326608279 57068 Oct 30, 2009 USD 20,000.00 XS0332153807 57072 Oct 30, 2009 USD 220,000.00 XS0327940929 57100 Oct 30, 2009 HKD 800,000.00 XS03348407411 57110 Oct 30, 2009 HKD 1,000,000.00 XS0336250229 57134 Oct 30, 2009 USD 50,000.00 XS0331400530 57135 Oct 30, 2009 USD 50,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0336250229 57187 Oct 30, 2009 USD 90,000.00 XS0326608436 57198 Oct 30, 2009<	and the second s		Oct 29, 2009	USD	
XS0331504745 56727 Oct 29, 2009 USD 140,000.00 XS0336927909 56728 Oct 29, 2009 USD 80,000.00 XS0327940929 56967 Oct 29, 2009 USD 70,000.00 XS0331769454 56968 Oct 29, 2009 USD 20,000.00 XS0326608279 57070 Oct 30, 2009 USD 20,000.00 XS0332153807 57072 Oct 30, 2009 USD 220,000.00 XS0327940929 57100 Oct 30, 2009 HKD 800,000.00 XS0336250229 57110 Oct 30, 2009 HKD 1,000,000.00 XS0331400530 57134 Oct 30, 2009 USD 50,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0336250229 57187 Oct 30, 2009 USD 50,000.00 XS0336250229 57186 Oct 30, 2009 USD 50,000.00 XS0336250229 57187 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 </td <td></td> <td></td> <td></td> <td>USD</td> <td></td>				USD	
XS0336927909 56728 Oct 29, 2009 USD 80,000.00 XS0327940929 56967 Oct 29, 2009 USD 70,000.00 XS0331769454 56968 Oct 29, 2009 USD 20,000.00 XS0325841368 57068 Oct 30, 2009 USD 100,000.00 XS0326608279 57070 Oct 30, 2009 USD 220,000.00 XS0332153807 57072 Oct 30, 2009 HKD 800,000.00 XS0327940929 57100 Oct 30, 2009 HKD 800,000.00 XS0336250229 57134 Oct 30, 2009 HKD 1,000,000.00 XS0331400530 57135 Oct 30, 2009 USD 50,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0336250229 57187 Oct 30, 2009 USD 50,000.00 XS0336250229 57196 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 USD 40,000.00 XS03362608436 57299 Oct 30, 2009				USD	
XS0327940929 56967 Oct 29, 2009 AUD 280,000.00 XS0331769454 56968 Oct 29, 2009 AUD 280,000.00 XS0325841368 57068 Oct 29, 2009 USD 20,000.00 XS0326608279 57070 Oct 30, 2009 USD 220,000.00 XS0332153807 57072 Oct 30, 2009 HKD 800,000.00 XS0348407411 57110 Oct 30, 2009 HKD 1,000,000.00 XS0331400530 57134 Oct 30, 2009 USD 50,000.00 XS0327859301 57136 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 50,000.00 XS0325841368 57196 Oct 30, 2009 USD 90,000.00 XS0326608479 57199 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0334922860 57230 Oct 30, 2009 USD 100,000.00			Oct 29, 2009	1	
XS0331769454 56968 Oct 29, 2009 AUD 280,000.00 XS0325841368 57068 Oct 29, 2009 USD 20,000.00 XS0326608279 57070 Oct 30, 2009 USD 20,000.00 XS0332153807 57072 Oct 30, 2009 HKD 800,000.00 XS0327940929 57100 Oct 30, 2009 AUD 200,000.00 XS0348407411 57110 Oct 30, 2009 HKD 1,000,000.00 XS0331400530 57134 Oct 30, 2009 USD 50,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 50,000.00 XS0325841368 57196 Oct 30, 2009 USD 20,000.00 XS0326608279 57199 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0334922860 57230 Oct 30, 2009 USD 100,000.00			Oct 29, 2009	USD	
XS0325841368 57068 Oct 30, 2009 USD 20,000.00 XS0326608279 57070 Oct 30, 2009 USD 100,000.00 XS0327940929 57100 Oct 30, 2009 HKD 800,000.00 XS0348407411 57110 Oct 30, 2009 HKD 1,000,000.00 XS0331400530 57134 Oct 30, 2009 USD 50,000.00 XS0327859301 57136 Oct 30, 2009 USD 40,000.00 XS03349511872 57187 Oct 30, 2009 USD 580,000.00 XS0325841368 57198 Oct 30, 2009 USD 90,000.00 XS0326608436 57199 Oct 30, 2009 USD 40,000.00 XS03325841368 57198 Oct 30, 2009 USD 40,000.00 XS03326608436 57209 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS03326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57213 Oct 30,			10-7-7-7	AUD	
XS0326608279 57070 Oct 30, 2009 USD 100,000.00 XS0332153807 57072 Oct 30, 2009 HKD 800,000.00 XS0327940929 57100 Oct 30, 2009 AUD 200,000.00 XS0348407411 57110 Oct 30, 2009 HKD 1,000,000.00 XS0331400530 57135 Oct 30, 2009 USD 50,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 50,000.00 XS0336250229 57196 Oct 30, 2009 USD 90,000.00 XS03325841368 57198 Oct 30, 2009 USD 40,000.00 XS0326608279 57199 Oct 30, 2009 USD 40,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS03326608436 57212 Oct 30, 2009 USD 100,000.00 XS0336608436 57210 Oct 30, 2009 USD 100,000.00 XS0336608436 57213 Oct 30, 2					20,000.00
XS0332153807 57072 Oct 30, 2009 HKD 220,000.00 XS0327940929 57100 Oct 30, 2009 AUD 200,000.00 XS0348407411 57110 Oct 30, 2009 HKD 1,000,000.00 XS0336250229 57134 Oct 30, 2009 USD 50,000.00 XS0331400530 57135 Oct 30, 2009 USD 40,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 50,000.00 XS0336250229 57196 Oct 30, 2009 USD 20,000.00 XS0325841368 57198 Oct 30, 2009 USD 40,000.00 XS0326608479 57199 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0326608436 57212 Oct 30, 2009 USD 100,000.00 XS0334922860 57213 Oct 30, 2009 USD 150,000.00				1	
XS0327940929 57100 Oct 30, 2009 AUD 200,000.00 XS0348407411 57110 Oct 30, 2009 HKD 1,000,000.00 XS0336250229 57134 Oct 30, 2009 USD 50,000.00 XS0331400530 57135 Oct 30, 2009 USD 40,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0327859301 57137 Oct 30, 2009 USD 50,000.00 XS03349511872 57187 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 USD 20,000.00 XS0325841368 57198 Oct 30, 2009 USD 40,000.00 XS0326608279 57199 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0326608436 57212 Oct 30, 2009 USD 100,000.00 XS0334922860 57230 Oct 30, 2009 USD 150,000.00		· ·			220,000.00
XS0348407411 57110 Oct 30, 2009 AUD 200,000.00 XS0336250229 57134 Oct 30, 2009 USD 1,000,000.00 XS0331400530 57135 Oct 30, 2009 USD 40,000.00 XS0326608436 57136 Oct 30, 2009 USD 50,000.00 XS0327859301 57137 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 USD 20,000.00 XS0325841368 57198 Oct 30, 2009 USD 40,000.00 XS0326608279 57199 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0336608436 57212 Oct 30, 2009 USD 100,000.00 XS03326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57330 Oct 30, 2009 USD 150,000.00					800,000.00
XS0336250229 57134 Oct 30, 2009 USD 1,000,000.00 XS0331400530 57135 Oct 30, 2009 USD 40,000.00 XS0326608436 57136 Oct 30, 2009 USD 40,000.00 XS0327859301 57137 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 USD 90,000.00 XS0325841368 57198 Oct 30, 2009 USD 40,000.00 XS0326608279 57199 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0336608436 57212 Oct 30, 2009 USD 1,030,000.00 XS03326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57330 Oct 30, 2009 USD 150,000.00				1	200,000.00
XS0331400530 57135 Oct 30, 2009 USD 50,000.00 XS0326608436 57136 Oct 30, 2009 USD 40,000.00 XS0327859301 57137 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 90,000.00 XS033650229 57196 Oct 30, 2009 USD 90,000.00 XS0325841368 57198 Oct 30, 2009 USD 40,000.00 XS0326608279 57199 Oct 30, 2009 USD 30,000.00 XS03326608436 57209 Oct 30, 2009 USD 120,000.00 XS0336927909 57212 Oct 30, 2009 USD 1,030,000.00 XS0326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57330 Oct 30, 2009 USD 150,000.00					1,000,000,00
XS0326608436 57136 Oct 30, 2009 USD 40,000.00 XS0327859301 57137 Oct 30, 2009 USD 50,000.00 XS0349511872 57187 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 USD 90,000.00 XS0325841368 57198 Oct 30, 2009 USD 20,000.00 XS0326608279 57199 Oct 30, 2009 USD 40,000.00 XS03326608436 57209 Oct 30, 2009 USD 120,000.00 XS0336927909 57210 Oct 30, 2009 USD 1,030,000.00 XS0326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57330 Oct 30, 2009 USD 150,000.00				i .	50,000.00
XS0327859301 57137 Oct 30, 2009 HKD 580,000.00 XS0349511872 57187 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 USD 20,000.00 XS0325841368 57198 Oct 30, 2009 USD 20,000.00 XS0326608279 57199 Oct 30, 2009 USD 40,000.00 XS03326608436 57209 Oct 30, 2009 USD 120,000.00 XS0336927909 57210 Oct 30, 2009 HKD 1,030,000.00 XS0326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57330 Oct 30, 2009 USD 150,000.00		1			40,000.00
XS0349511872 57187 Oct 30, 2009 USD 90,000.00 XS0336250229 57196 Oct 30, 2009 USD 20,000.00 XS0325841368 57198 Oct 30, 2009 USD 20,000.00 XS0326608279 57199 Oct 30, 2009 USD 40,000.00 XS0326608436 57209 Oct 30, 2009 USD 120,000.00 XS0336927909 57210 Oct 30, 2009 HKD 1,030,000.00 XS0326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57330 Oct 30, 2009 USD 150,000.00		f		1.	
XS0336250229 57196 Oct 30, 2009 USD 90,000.00 XS0325841368 57198 Oct 30, 2009 USD 20,000.00 XS0326608279 57199 Oct 30, 2009 USD 40,000.00 XS0326608436 57209 Oct 30, 2009 USD 120,000.00 XS0336927909 57212 Oct 30, 2009 HKD 1,030,000.00 XS0326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57330 Oct 30, 2009 USD 150,000.00		i			580,000.00
XS0325841368 57198 Oct 30, 2009 USD 40,000.00 XS0326608436 57209 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0336927909 57212 Oct 30, 2009 USD 1,030,000.00 XS0326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57230 Oct 30, 2009 USD 150,000.00		l l	Oct 30, 2009	ı	90,000.00
XS0326608279 57199 Oct 30, 2009 USD 40,000.00 XS0326608436 57209 Oct 30, 2009 USD 30,000.00 XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0336927909 57212 Oct 30, 2009 USD 1,030,000.00 XS0326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57230 Oct 30, 2009 USD 150,000.00				1	
XS0326608436 57209 Oct 30, 2009 USD 30,000.00 USD 120,000.00 USD 120,000.00 USD 120,000.00 USD 1,030,000.00 USD 100,000.00 USD 100,000.00 USD 157213 Oct 30, 2009 USD 150,000.00 USD 150,000.00 USD 150,000.00 USD 150,000.00		1		4	
XS0339560376 57210 Oct 30, 2009 USD 120,000.00 XS0336927909 57212 Oct 30, 2009 USD 1,030,000.00 XS03326608436 57213 Oct 30, 2009 USD 100,000.00 XS0334922860 57230 Oct 30, 2009 USD 150,000.00		T I		1	
XS0336927909 57212 Oct 30, 2009 USD 1,030,000.00 USD 150,000.00 USD 150,000.00 USD 150,000.00		1		1	
XS0326608436 57213 Oct 30, 2009 USD 100,000.00 USD 150,000.00		i i		ı	
XS0334922860 57230 00.00 00.00 150,000.00	XS0326608436	1			
1 Oct 30, 2009 [HKD 500,000.00]	XS0334922860			1	
			JUL 30, 2009	lukn	500,000.00

	1			
XS0325786084	57231	Oct 30, 2009	HKD	620,000.00
XS0326708491	57232	Oct 30, 2009	USD	40,000.00
XS0338525172	57235	Oct 30, 2009	USD	100,000.00
XS0331769538	57238	Oct 30, 2009	USD	
XS0350571377	57257	Oct 30, 2009	USD	130,000.00
XS0326608279	57259	Oct 30, 2009	USD	130,000.00
XS0336415913	57260	Oct 30, 2009	USD	130,000.00
XS0331400530	57262		1	50,000.00
XS0331504745	57263	Oct 30, 2009	USD	70,000.00
XS0336645089	57264	Oct 30, 2009	USD	30,000.00
XS0326608436	57265	Oct 30, 2009	USD	150,000.00
XS0336927909	57266	Oct 30, 2009	USD	100,000.00
XS0325786084	57269	Oct 30, 2009	USD	210,000.00
XS0331400027	1	Oct 30, 2009	HKD	1,000,000.00
XS0327940929	57270	Oct 30, 2009	USD	50,000.00
	57332	Oct 30, 2009	AUD	70,000.00
XS0325841368	57333	Oct 30, 2009	USD	70,000.00
XS0339560376 XS0326866307	57718	Oct 30, 2009	HKD	500,000.00
XS0339560376	57719	Oct 30, 2009	HKD	500,000.00
XS0369799845	57721	Oct 30, 2009	HKD	200,000.00
XS0332153807	57722	Oct 30, 2009	USD	65,000.00
XS0326608436	57751	Oct 30, 2009	HKD	00.000,008
XS0336645089	57752	Oct 30, 2009	USD	80,000.00
XS0337553688	57753	Oct 30, 2009	USD	60,000.00
XS0341160082	57754	Oct 30, 2009	USD	30,000.00
XS0349512250	57755	Oct 30, 2009	USD	30,000.00
XS0331769454	60811	Nov 02, 2009	HKD	600,000.00
XS0334922860	60815	Nov 02, 2009	USD	210,000.00
XS0348407411	60836 60837	Nov 02, 2009	HKD	250,000.00
XS0336927909	60842	Nov 02, 2009	HKD	700,000.00
XS0331769454	60846	Nov 02, 2009	USD	150,000.00
XS0334922860	60852	Nov 02, 2009 Nov 02, 2009	USD	150,000.00
XS0335743398	60853		HKD	890,000.00
XS0349511872	60854	Nov 02, 2009	HKD	1,000,000.00
XS0326866307	60857	Nov 02, 2009	USD	500,000.00
XS0335743398	60914	Nov 02, 2009	HKD	600,000.00
XS0332153807	60915	Nov 02, 2009	HKD	250,000.00
XS0329337348	60916	Nov 02, 2009	HKD	600,000.00
XS0326866307	60917	Nov 02, 2009	HKD	1,200,000.00
XS0326608352	60918	Nov 02, 2009 Nov 02, 2009	HKD	650,000.00
XS0325786084	60919	Nov 02, 2009	HKD	890,000.00
XS0326026498	60920	Nov 02, 2009	HKD	1,080,000.00
XS0349511872	60921	Nov 02, 2009	USD	1,500,000.00
XS0339560293	60922	Nov 02, 2009	USD	130,000.00
XS0337553688	60923	Nov 02, 2009	USD	310,000.00
XS0336927909	60924	Nov 02, 2009	USD	60,000.00
XS0336645089	60925	Nov 02, 2009	USD	70,000.00
XS0336250229	60928	Nov 02, 2009	USD	210,000.00
XS0331769538	60929	Nov 02, 2009	USD	190,000.00
XS0331769454	60930	Nov 02, 2009	USD	50,000.00
XS0331400027	60931	Nov 02, 2009	USD	80,000.00
XS0326608279	60932	Nov 02, 2009	USD	50,000.00
XS0325841368	60933	Nov 02, 2009	USD	150,000.00 250,000.00
XS0326865671	61149	Nov 02, 2009	USD	320,000.00
XS0328586515	61150	Nov 02, 2009	USD	
XS0326866307	61312	Nov 02, 2009	HKD	300,000.00 500,000.00
XS0326865671	61319	Nov 02, 2009	USD	130,000.00
XS0331400027	62934	Nov 02, 2009	USD	70,000.00
XS0326026498	62935	Nov 02, 2009	HKD	400,000.00
			•	3,000,00

08-13555-mg Doc 55570 Filed 06/15/17 Entered 06/15/17 15:21:38 Main Document Pg 6 of 73 Schedule to Form 210A

XS0336645089 65 XS0326866307 65 XS0331400530 66	2936 Nov 02, 2009 2937 Nov 02, 2009 1670 Nov 04, 2009 1857 Nov 05, 2009 7148 Oct 26, 2010	USD USD HKD USD HKD	40,000.00 190,000.00 600,000.00 130,000.00 1,000,000.00
---	---	---------------------------------	---

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

- For value received, the adequacy and sufficiency of which are hereby acknowledged, THE ROYAL BANK OF SCOTLAND PLC ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to DEUTSCHE BANK AG, LONDON BRANCH (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable percentage specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers listed on Schedule 1 filed by or on behalf of Seller (a copy of which is attached at Schedule 2 hereto) (the "Proofs of Claim" and each a "Proof of Claim") as is specified in Schedule I hereto (the "Purchased Portion") against Lehman Brothers Holdings, Inc., as guarantor of the Purchased Security (as defined below) and debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"). (b) all rights and benefits of Seller relating to the Purchased Portion, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Portion or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Portion, whether under a plan or reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Portion, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Portion, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any prior seller acquired the rights underlying or constituting a part of the Purchased Portion, but only to the extent related to the Purchased Portion, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "Transferred Claims"), and (d) the security or securities (any such security, a "Purchased Security") relating to the Purchased Portion and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller to or in connection with the Transferred Claim, the Purchased Securities or the Proceedings.
- Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relates to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by Seller or against Seller; or, alternatively, Seller has been duly authorized to sell, transfer and assign the Transferred Claims by the owner of Transferred Claims who has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by such owner or against such owner; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer: (e) the Proofs of Claim includes the Purchased Portion specified in Schedule 1 attached hereto; (f) Seller has not engaged in, and, to the best of its knowledge, none of Seller's predecessors-in-interest (if any) in the past have engaged in, any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) a true and correct schedule of the 10th, 11th and 12th distributions paid to Seller by Lehman Brothers Holdings, Inc., appears attached hereto as Schedule 2; (h) to the best of its knowledge and based on its understanding of the Proceedings, the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; and (i) a true and correct schedule of the 7th, 8th, 9th, and 10th distributions paid to Seller by Lehman Brothers Treasury Co. B.V. or its authorized agent(s), with respect to the securities relating to the Transferred Claims, appears attached hereto as Schedule 3.
- 3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by

DB Ref: 19881

Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

- All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities. including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.
- Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller in respect of the Transferred Claims to Purchaser. Seller has transferred, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement and Evidence of Transfer supplements and does not supersede any confirmation, any other automatically generated documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security.
- Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.
- Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the jurisdiction of the courts located in the County of New York in the State of New York. Each party hereto consents to service of process by certified mail at its address listed on the signature page below, unless otherwise required by the international treaties governing the service of process to the parties.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this $\frac{H_o}{day}$ day of $\frac{JogE}{day}$ 2017.

THE ROYAL BANK OF SCOTLAND PLC

Title:

AUTHORISED SIGNATORY

DEUTSCHE BANK AG, LONDON BRANCH

Alex Darbyshire Vice President Name: Title:

By: Name Title

By:

Simon Glennie Director

Winchester House 1, Great Winchester Street London EC2N 2DB **ENGLAND** Attn: Michael Sutton

Transferred Claims

Purchased Portion

100% of the claims that are referenced in the Proofs of Claim (as highlighted in the copy of the Proofs of Claim attached at <u>Schedule 2</u>) and relating to the Purchased Securities described in the attached spreadsheet (plus all interest, costs and fees relating to this claim).

See attached spreadsheet, which describes:

- Description of Security Issued by Lehman Brothers Treasury BV
 - ISIN / CUSIP
- Blocking Number
 - Issuer
- Guarantor
- LBHI Claim Number
- Principal / Notional Amount Allowed Amount
- Maturity 10th, 11th and 12th Distributions made by LBHI

Page 1

Description of Security	ISINCESIP	Blocking	Incitati				ſ							
		Number		Custinoin	Claim	Principal/Notional Amount	Alloned Amount	Аточи	Maturity	LBHI 12th Distribution		LBIII 11th Distribution	LBHI 10th Distribution	Orts
The state of the s					Number									
LB 17 USD Range Acertal Note S877 23NOV06	XS1331504745	CASSMAR	_	I chman Brothers Heldings Inc	4(16.47	USD 130 000 00	10 U.S.D.	50 (11.51)	118/2017/00	1 icre		ı		
LEGAN CONTROL Acertal Note S797 270 CT 09	NS0326608279	CA31792	Lehman Brothers Treasury Co BV	Letman Brothers Holdare Inc	113.17		~	24 (20)	SAUCE CONTRACT					378.35
LB 2Y USD Range Accrual Note S798 270 CT09	NS0326708491	CA30399	ؿ	Lehman Brothers Holding Inc	13947			200 200 200	101707					57 817
LB 17 USD Dath Callable Fixed Coupon Noie S081 05MAR69		CA31689	Lehman Brothers Treasury Co BV	Lehman Brothers Holdings Inc	14167			10 10 10 10	200					1,388 1.1
LB 23 USD Arring Range Accrast Note SS89 23NOVID	NSi331769538	CA38220	Lehman Brothers Treasury Co BV	Lehman Brothers Holdans Inc	750,60			100000000	OSSIARON STANDARD					ro gari
LB 2V AUD Range Acertal Note \$830 05NOV09	NS0327940929	CA31932	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdon 1110	77117			20 CH1777	SOUTH TO SERVICE	-				127
EB 33 HKD Range Acertal Note State 14DECH	NS0334922860	CA31759	Lehman Brothers Treasury Co BV	L chman Brothers Holding Inc	13870	•		27.01.03	TO DE CH		-			355 64
LB 2Y USD Range Accrual Note S797 270CT09	XS0326608279	CA37898	Lehman Brothers Treasury Co. BV	Lehman Brothers Holdenge Inc.				33,033 11	14DEC 09		_			215 10
UBAY HKD Dark Callable Fixed Coupon Note \$134 02MAR09	NS:348407411	CA38409	ي ع	School Deschool Helde		;	_	71.2962	270CT09			15.74 dsu	r.SD	269 100
LB 2Y USD Range Access Note S004 180AN in		C417670	3	Committee arounces morange inc		_	CSD =	67,018 87	02NIAR07	1.20	23 45 C	USD 753.55	S	13 877
LS 37 HKD Range Acertal Note \$936 (4DECO)	XX013207360	f A46770	9 (Lenman Broiners Holdings Inc			o CiSio	\$7,280 12	1834810	2	0 55 not	USD 644 IIS	CS)	366 33
LB 3V USD Arrbae Rance Accruel Note Styte 31 DECtion	VG0137551650	2000		Lehman Brothers Holdings Inc		НКВ тон-описра	OSD 8	b,72n 62	HDECOM	93.	57 to 0	USD 75.63	cso	43.02
I.B. W. 1973 Airbos Danos Account Money Coll. 1	OBJECT CONTRACTOR	Caracia	e	Lehman Brothers Holdings Inc.	74127	USD 1000 000 100	CSD in	46.976.61	31 DEC89	7		•	-	300 33
TO WHEN A CLASS OF THE PARTY OF	055000 TERRORS	(A49566)	Lehman Brothers Treasury Co BV	Lehman Brothers Haidings Inc	411.53	USD 20 000 00	0511 0	OF ALCOH	34 NOVDE				-	
11 CAN DECEMBER ACCORD NOTE 2890 13NOV US.	XS0331404027	CA46574	Lehman Brothers Treasury Co BV	Lehman Brothers Holdares Inc.				4	The Court		_		_	2
LB 27 USD Arring Range Accrual Note S889 23NOV49	XS0331769538	CA46.751		I ohman Brothers Holden in					23SOLVE					11.3
LB 2Y AUD Range Arertal Note St30 05NOV09	NSittatorious	CARROR		out dumers women and			_	21,040.48	23.50509	- GE	197,39 [0]	USD 25v 17	CS.	17 271
EB 27 USD Range Accruel Note Sout 1814 Nio	Verrancentus	2 20 22		Lehman Brothers Holdangs Inc		AUD 150,000 no	<u> </u>	64,167,44	PRINCE	USD 5	549.51	USD 721 49	dS.	410 38
TR AV HED Drope Agent Name Street Control	C. Things a series	CASS434	Lenman Brothers I reason, Co BV	Lehman Brothers Holdings Inc	74255	USD 170 000 00	GSD C	24 904 77	(\$JANTO	9. OS.1	11 97 119			370.05
TO THE LOCK BOTTON OF THE PARTY	47 E00204.EDS.	133423	Lehman Brothers Treasing Co. BV	Lesiman Brothers Holdings Inc	44293	HKD 370,000 00	_	21 (68.53	18138111		-		=	
the state was a secretary was a second	NS1336927909	CA46946	I chman Brathers Treasury Co BV	Lehman Brothers Holdings inc	147.67			11. 375 10	Jury Con		-	•	9	1
LB 27 USD Arring Range Acertal Note S126 251AN 10	XS0341160082	CA31737	Lehman Brothers Treasury Co BV	Lehman Brothers Holdmy loc				07 000 000	Should be			_		7
LB 27 HKD Airbag Range Acertal Note 5779 230CT00	XSustrutterax	CARTER		foliam Brothers Helden (21.818 9.3	STANIG					130.54
LB 2Y USD Airbag Range Acertal Note S091 23NOV09	XS0331400530	CA55345		Cohuma Destructs (Columbia (Co.	-	_		10.143	23OCT09				_	507 44
LB 2Y USD Range Accrual Note S'149 17 DEC09	XS0336415013	CA55076	Brother Teamer Co.	יייייייייייייייייייייייייייייייייייייי				153.695.85	23NOVE	CED CED	316 20	USD 1.728 14	GS.	982 96
L8 27 USD Arriage Range Accrual Note Ship 23NOVIII	Yearthoung	C. 4.6.6.1.2	Described Treasury Co	Lenman Brothers Holdings Inc		1350 100 100 100 100 100 100 100 100 100 1	63	16,237.04	17DEC09	USD SE	652.87 U	USD 857.21	CS:	487.57
18 2V 150 Pages Actual Note 5767 320-T(6)	12011111111111111111111111111111111111	0166577	Brothers Freaker Co	Lehman Brothers Holdings Inc.	1 56614	USD 300 00m us	OSIT	169,343.43	PANOVEZ	T OSA	_	_		1183 613
18 17 CO Dark Callette Dear Comment Name Contract	With the state of	C. 353112	Binthers Treasury Co	Lehman Brothers Holdings Inc.	7 96647	USD 330,000 up	CSD	154,227.84	2700709			_	9	086 36
ET 11 CAL DAIN CAILLER CHOCK NOTE SAIN HOMEN	X80344511872	CASS 189	Lehman Brothers Treasury Co BV	Lehnan Brothers Holdings Inc.	14997	CSD 250 000 00	6	241 30% SET	000000000	_	_			100
LH 23 UND Arriage Range Acertaal Note SN91 23NOV99	XS0331400530	CA31922	Lehman Brothers Treasure, Co. BV	Lehman Brothers Holdanes Inc) [20 114 13	SOUTH THE PARTY OF	_		 -	3	133
LB 27 USD Arrhag Range Acetual Note S888 23NOV09.	NS0331769454	CA46546	Lehnian Biothers Treasury Co By	Lehman Brothers Holdren inc.) [67 (57)	13.00.00				9	327 65
LB 2Y USD Airlag Range Acertal Note \$983 04JAN10	XS133525172	CA40976	Brothers Treasure Co.	Lehman Brothers Holders Inc	_		9	04.314.33	MINON!				CSD	0.050
LB 27 USD Airlag Range Acertal Note Si70 31 DEC09	XS0337553688	(446948	Binthers Trensus, Co.	The state of the s			g S	X7 X81 TX					asa	334 616
LB 2Y USD Airlag Range Acertal Note Strat 251AN in	NS0341160082	C347054	Biothers Transpor Co	The Company of the Co			8	178.511 12		_	_		CSD	1,141 6%
LB 25 HKD Arrhag Range Accrual Note 5779 2300 T09	X8137602649X	CAJOINA	Brothers Trans.	Leanish Broiners Holdings inc			8	165,833,85		er'i osa	(ISA) 90 0ZF	D 1.86451	(LSD	1,068.52
LB 27 AUD Range Accital Note SS 10 03NOV09	Went of Canal		Diviners Heavily Co	Leninan Brothers Holdings inc	-	HKD 2 with ago on	CKD	230,097 0.3	23OCT00	1,51	OSU 74.078.	D 2,587 19	(ISI)	1,471.58
LB TY 1/SD Range Account Note State (2010)	September of the second	- Jan - 104	Brothers Treasury	Lehman Brothers Holdings Inc.	15246 A	AUD 200,000 00	CSD	85,540,540	_					547 17
1 B 2 V USD Dance Account Notes to Automate on	ASSESSION 2013	CAN-1827		Lehman Brothers Holdings Inc	U 59281	USD 7000,0000 080	æ	505,255 449		-		•		11.2
The Age of	ASSESSED FROM	C331656		Lehman Brothers Holdings Inc	315271 C	30 000 011 CS.	CSD	643912.01	_					100
The result of the second content of the seco	NS0326608274	Cree	Lehman Brothers Freasing Co By	Leliman Brothers Holdings Inc.		CSD COMPANY		EF 34.7					9 5	100 20
TO THE PARTY OF TH	XS1331769538	Selection of the select	Lehman Brothers Treasury Co. BV	chman Brothers Holdings Inc.	15275 [0]	USD 130 (000 00					-		19.1	1 12
BANAGO COMPANIANTE SECTION OF THE SE	NAU3257Keekk	CYANGE	Lehman Brothers Treasury Co. BV	chman Brothers Holdings Inc.	45276 HI	HKD 3,000,000 eg	OS:7			-				
LD . 1 Cab winds kings accress Note Says 23NOVing	XS0331769454	CASTASS	Lehman Brothers Treature Co BV	chman Brothers Holdans Inc			9.			•				00000
LB 27 USD Range Accrual Note 5787 230CT 09.	XS0326020225	CA46100	Lehman Brothers Treasury Co BV	chman Brothers Holdans Inc	-					7 : 7 :			9	ST 1735
LB 2.1 USD Range Accrual Note \$798.270CT 99	XS0326708491	CA37%63	Lehman Brothers Treasury Co. BV	chman Brothers Holdings Inc.		_							3	374 (36
L.B. J. U.M. Arreng Hange Accumi Note SN89 23NOV09	NSG31760538	C.Martin	Lehman Brothers Treasury Co BV	chman Brothers Holdings Inc			6.						CSD.	
L.B.2.Y USD Airtog Bange Acertal Note SiRv 23NOV00	NS0331769538	C446746	chman Brothers Treasury Co. BV	chman Brothers Holdanes Inc						•	==:		_	7. 79.
LB IN HKD Daile Callable Fixed Coupon Note 5074 02MAR09	11/201818163X	C473928	Lehman Brothers Treasury Co. BY	chman Bigihers Holstones Inc			•			-1		rí	_	584 75
LB IN HKD Daily Callable Fixed Coupon Note 5978 05MARRIT	NS0349512250	CA73930	Brothers Treasur Co	chman Brathers Holdary Inc				1 2 2 2 2					G S	538 E
LB 3Y HKD Range Accreal Nate State 14DECtiv		CA73915	Co By:	chman Brothers Holdram Inc		•					_		CSD	73.73
LB 27 USD Arriag Range Acertal Note S888 23NOVO	XS0331769454	CA739E2	Co BV	chman Brathers Holdrag Inc		•			-		_		G C	7.0 98 0.7
LB 2N USD Range Accrual Note \$959 29DFC 09	XS0336927909	CAMSIS	Brathers Treasure Co BV	Attended Benchmer Helder of Le				_					20	<u>-1</u>
LB IN HKD Daily Callable Fixed Coupon Note \$074 02MLNR09	NS0348405111		Brolhers Treasury Co. BV	olumn Brothers Unitere to	-				_				_	372.17
1.B IN HKD Daile Callable Fixed Colpun Noic 8074 02MAR09	TITLOTSTERSX	_=	Brothers Freavis Co BV 1	ohman Brathaer Holder Inc	_		,					ri	_	,428.73
LB 25'USD Range Accrual Note Systema Da DECity	NS0336927909	CA38709 1	Co BV	change Brothers Hoffman fac	STATE OF STATE	-					_			176.24
LB 18 HKD Dark Callable Fixed Coupon Note 9174 (t2MARR)	NS1348407411			of my Brothers Helders Inc.		-						7 9		372.17
LB 2V HKD Range Accrual Note S947 21 DEC09	XS03357433998 (chmon Brothers Holdanse for	Target HALD	The state of the s								176 24
LB 1V USD Range Accrual Note S954 29DEC09	X\$0330645089	CASSING I	184	chana Brubors Helbars for									9	170.20
L.B. IV HKD Daily Callable Fixed Coupon Note 9/71/02/MAR09	XS0348407411	CASSING 1	- A	Brothers Holding Inc	_	The control of	•					77 1)28		466.66
LB 1Y HKD Daily Callake Fixed Coupon Note S178 05MAR09	XS0340512250 (C447070 IL	rothers Treasury Co. BV	Brothers Heidars Inc						_		2.51.1 84		1,128-73
LB 25' USD Range Accrual Note \$787 23OCT 09	XS0326026225 (á		TATE OF STATE	10 000 000 000 C	(S)					758 38	9	431.34
		_	-	-				21,716.13		CSD 185	185 y7 ESD	277 12	6	25.85

Page

Schedule

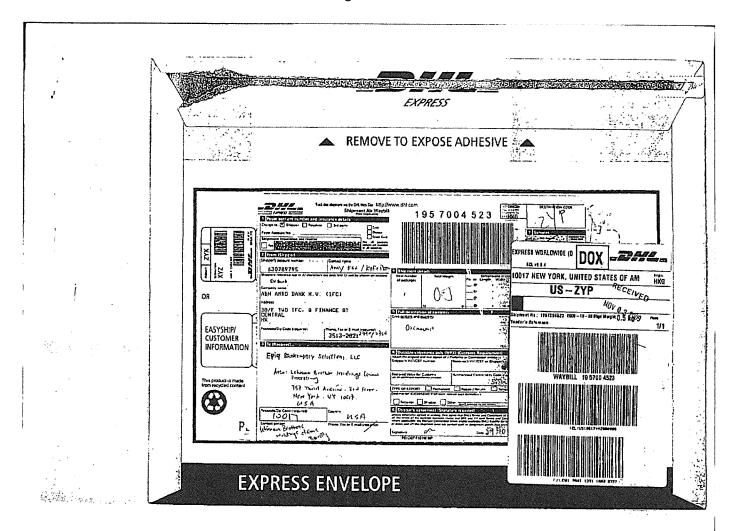
Schedule 1

								_		_										_																															
		229.35	87.24	137 49	208 84	38185	180 50	15. 16.1	247 13	182 08	E7 18E	72.83	415 70	370.35	3 7	961.13	83 72	287.57	735.61	107.55	333.37	62 800	בי כשנ	23.55	1.86r. 31	16. 407	88 10	277 76	73.75	441.90	342 16	S 3	188	873.56	1X() 26	160 52	67 745	184.27	280 24	180 30 1	148 34	882.63	784 88	339 93	341.84	252.70	202.47	147.41	407.41	50 527	507.44
CSD	9 (3 8	85			<u> </u>	9 5	csn	CSD	g	GS.	asa	OS.	9 9	9	CSD	asa	CISI	CS:	9	9.1	3 5	65.1	9 6	3 2	S	es:	9	as:	CSD	GS.	<u> </u>	3 2	CS	GSD :	8	3 2	OS.	USD	CSC	CS.	9 9	9.9	OS)	S	GS.	9	65	993	S	CSD
818.57	4-88-	# FE FE	153.38	31 695	525 40	90715	7.6	336.00	434.49	330 13	547 63	128 05	58 062	2 5	307.06	138 46	± 25	505.50	1, 192	180,08	286.09	01110	2 2 2 2	2 2	3,281 15	217.15	25.75	488.34	500 9fc	276.92	26.	840.85				458.02						1,551.76							717.13		89213 1
	3	_		_		9 :			_	_	OS I			9 9	2	9	asn	GS.	CSL	<u> </u>	9 5	3 5	3 6	9 5	8 8	S	nsn	CS	CSD	CS.	8	9 9	(S)	CSD	B	9 5	3 6	S	CSD	CSD	GS.	8 8		QS:	08.1	OS:	CS. 1	OS 1	8 8	8	CSD
6.38 118	520.26	307.11	116.82	585 %1	400-16	1.046.52	02 176 02 176	256 43	330 92	343.81	455 17	97.52	556.63	16 564	1 7	129.68	11.11	385.07	088 ((2)	10.11	XE 27.7	702 50	¥(5,5)	6 A 1 L 1	2,494 92	5.46.20	117 97	371 13	381 54	591.72	91 851	2 972	7 7	1,169.72	241.37	78.87	71 34	276 74	375 24.	17.	11 11	18136	14150 US	138 17	\$34.68	~~~~			546.20		11.79.47
osa	9 9	3 2	GSD	3	8	8	9 6	83	ß	<u>B</u>	DS.	G.	<u> </u>	3 6	S	GSD	GS 2	G.	9	9	2	3 6	3 5	É	<u> </u>	<u> 5</u>	S	CS.	SS	GS.	GZ -	<u> </u>	9 2	CS:	GS.	9 5	e G	83	9	ß	S	2 2	9 5	8	CSD	23	GS ₂	6 5	8 8	8	28
HMARO	270CT (I)	2330000	PANDVE	2nDEC av	1.0CT075	TADECON	230000	OSNOVAD	220CT09	18)4810	270CT09	DALANE	SMARII	SONOVOE C	39DFC09	31DEC09	25JAN10	05MAR09	23NOVIP	14DEC#8	AUDI COL	1150000	SULPACE.	TIPECOS	OSMAR09	270(10)	210EC09	30XOX0E	CONDACT	270CT09	270CI09	120CT 085	05MAR09	(XIAN)0	31 DEC197	PODECO!	210EC97	POVONE	23NOV##	23NOV89	270CT09	120Cl 07	UGNOVE9	270CT09	290CT 04	23NOVB9	230CT04	23NDV00	29DEC09 27OCT09	2380809	23OCT09
74,580 66	GB, 155, 427	35,862 36	13,641.58	48,407,24	4t, 725 13	25,200,35	38 323 (11)	29,944.83	38,642.54	28,470 VR	53,151.51	11,388 39	65,000,00	12 200 74	27,362 90	14,002 48	13,000 Jrs	44,965 69	2.05	45 018 of	2 2 2 2	41 60 17	40 Sec. 9.1	13 101 44 45 101 40	291,816 13	63,781.81	11.775 83	13,431 64	51 15511	69,090,900	53 Sut 16	74,726,20	75.872.19	36.59) 05	28 185-97	10,734,42	84,355,13	28,813 FO	43.818.54	ON EEE RE	70 103 %	78 000 00s		53.651.51	61.268 00	39,513.47	11 717 52	23-050-48	80,649 17	65.001.53	19 143 801
	9 5					9 9				T.SD	GS O	GS :	osa Sa	9 6	9	CSD	OS)	OS)	<u>S</u>	8 !	3 5	3 6			9	65	ngn	OS ₁	asa	GS.	GS/1	9.5		n dsa	as i	9 9				9		65.5				CS.		<u> </u>			OS.1
130,000 atu	60 000 000	70,000, ma	30,000,00	150 000 00	GD 000'001	(III (III) (III)	STE DRIP THE	70,000.00	70,000.00	900 (OUE 10)	Squamma	200,000,00	65,000,00	Settle chain rate	400 000 000	30,000 00	30,600 00	600 (40) Gir	210,040 90	250 000 100	120 000 000 024	130 (mg mg	San diles for	Contraction in	590,000 00	600,000,00	250 (000 (0)	600,090 99	200,000,00	650,000-00	850,000 00	00.000.000 ac	130,000 06	316,000 nu	60,000 80	70,000,00	196,000,00	50,000 00	80,0101 501	Sa Cháin cay	150,000 00	250,0000 00	160 000 00	Sun 009 00	130,000 00	Thrumb mo	_	40,000,00			000 0000 000
QS.	3 6	8	OS:	os:	9 9	9 5	2 6	VI.'D	SS	HKD	HED	IKD	8	2 6	OS:	CS.	OS:1	HKD	(S)	2	2 6	3 6	2 2 2			9	HKD	9		HKD		2 5	•	GSD	GS:	3 5		OS)	GS.	QS.	6	2 5	9	_		65.1	G. H.	6	2 2		
	6276		*******			27,200		\$7332	57333	\$1778	-		57722			57754	57755				7 (000)				-			60915				of the last		0 22609		7 55616	_					55 E56053						36954 10 4134			17148 HKD
oldings Inc	olden stan	oldings Inc	oldings Inc	oldings Inc	oldings Inc.	olding Inc	oldans inc	oldings Inc	oldnız inc	oldings Inc	oldings Inc	oldings Inc	oldings inc	John Star	oldings Inc	July Juc	July fine	oldings Inc.	oldings Inc	idents inc	and of the last	in the second	idens inc	Idans Inc	ldmp Inc	idnys Inc	Idangs Inc	dangs Inc	idings Inc	idengs Inc	Idags Inc	idangs Inc	Idans fac	dungs Inc	ldags Inc	dangs Inc	idents Inc	idngs inc	dangs Inc	denys Inc	denga fac	dans lac	days Inc	dangslar	days inc	dangs Inc	dangs Inc	dangsing	dengs inc	dangs Inc	dangslac
Lehman Brothers libitangs Inc	Lenman Brothers Holdanys Inc	Lehman Brothers Holdings Inc	chman Brothers Holdings Inc	Lehman Brothers Holdings Inc	Lehman Brothers Holdings Inc	coman Brothers Holdrey Inc	chman Brothers Holdans Inc	chman Brothers Holdings Inc.	chman Brothers floidings Inc	Lehman Brothers Holdings Inc	Lchman Brothers Holdings Inc	chman Brothers Holdangs Inc	chman Brothers Holdings inc	chman Brothers Holdage Inc	chman Brethers Holdings Inc.	chman Brothers Holdings Inc	Lehman Brothers Holdings Inc	n Brothers Holdings Inc	chman Brothers Holdings Inc	chman Brothers Holdings inc	and experience and the second	n Brothers Holdanss Inc.	chman Brothers Holdons Inc	chmar Brothers Holdans Inc	chman Brothers Holdings Inc	chman Brothers Holdings Inc	chman Brothers Holdings Inc	chman Bruthers Holdings Inc	chman Brothers Holdings Inc	chman Brothers Holdings Inc	chman Brethers Holdings Inc	chman Brothers Holdans Inc	chman Brothers Holdings Inc	Brothers Holdings Inc	chman Brothers Holdings Inc	chman Brothers Holdings Inc	chman Brothers Holdings Inc	Brothers Holdings Inc	charm Brothers Holdags Inc	chman Brothers Holdings Inc	chman Brethers Holdings Inc	chman Brothers Holdings Inc.	ehman Brothers Holdans Inc	etunan Brothers Holdings Inc	chman Brothers Holdings Inc	chman Brothers Holdings Inc	chman Brothers Holdings Inc	Brothers Holdings Inc	Brothers Holdings Inc	Brothers Holdings Inc	chman Brothers Holdings In
						1	Lehm	Lehm	=	Lehm		chm.	Lehma	E de	Lehm	Lehma	Lehma	l chman	Lchma	Lehman	The state of	I chm m	chma	Lehma	Lehma	Lehman	L chm2	Lehma	Lehma	Lehma	T th	Cohman	Lehma	L chman	Leimar	Tenan,	Lehman	l chman	Lehman	Lchman	L chman	Tenman	1 chman	Lehman	Lehman	Lehman	Lehman	Lehman Lehman	Lehman	1 chman	Lebman
පි (20 00	3 3	n Co BY	င်	0 BV	1000		n Co BV	n Co BV	ΛE OJ ŭ	n Co BV	3,	100			Co BV	Co BV	Co BV	To BV	6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	6 6					S Co BY	, Ce BV	Co BV	Ĉ	YE O BY	ا ا ا	76 97 .	Co BV	Co BV	۾ ۾ د ڪ	9 3	S B	Co BV	ŝ	ė	3.	38 67		Ce BV	Co BV	اع	8	£ £	3 8	٤	Co BV
Brothers Treasury	ers I reast	rs Treasu	ers Treasu	rs Treasu	rs Treasu	Brothers France	rs I reaso	Brothers Treasury	ers Treasu	rs Treasu	rs Treasu	rs Treasu	rs I reasu	rs I reasu	rs Treasu	rs Treasus	rs Treasur	Brothers Treasury	Brothers Treasury Co	Brothers Treasury Co	Brothers Transies	Bruthers Treasury Co	Bruthers I reasign Co	Brothers Treasur, Co	Bruthers Treasury Co	rs Treasur	S Treasur	s Treasur	s Treasur	s Treasur	S Treasur	s Frensia) «Trensian	Brothers Treasury	s Freasor	Brothers Treasury	reason s	S Freatury	Treasury	Treasury	Freasur	Treasur	Treasury	Treasury	Treasury	Treasur	Treasury	Brothers Treasury	Brathers Treasury Co. Brothers Treasury Co.	Brothers Treasury	Brothers Treasury	Treasury
man Broth	chman Brothers Treasury	ehman Brothers Treasury	Lebnan Brothers Treasury	Lehman Brothers Treasur	chman Brothers Treasure	iman Brothers Trassity	hman Brathers I reason	an Brothe	Lehman Brothers Treasury	hman Brothers Treasury	man Brothers I reason	man Brothers Treasury	Lehnian Brothers Treasury	Charles Brothers Treasure Co	Lehman Brothers Treasury Co	chman Brothers Treasury Co	Lehman Brothers Treasury, Co			is Brothe	Brothe	n Brothe	n Brothe	n Brothe	n Bruthe	chman Brothers Treasury	man Bruthers Treasury	chman Brothers Treasury	Lehman Brothers Treasury	man Bruthers Treasury	man Brothers Treasury	Lehman Brothers Treatury Co	a Brother	nan Bruthers Treasury	n Brother	rusta i resinera i resenta	Lehman Brothers Treasur	on Brothers Treasury	Lehman Brothers Treasure	Lehman Brothers Treasur.	Lehman Brothers Treasury	rankers I reason or	Lehman Brothers Treasury	an Brothers Treasury	chman Brothers Treasury	Lehman Brothers Treasury	Brathers		Brothers	Brothers	ian Brothers Fressies
<u> </u>	<u> </u>	4			= -	-		Lehman		-	-					I chm	Lehm	I chman	L'chmar	Cohman	_	Fehrman	Lennar	Lehman	1 chman	Lehma	Lehma	Lehma	Lebuta	1 chma	Cchm	Lenma		I chma	Lehman		Lehmu	Lehman	Lchma	Lehmar	Etha.	T CULT	Lehman	Lehman	I chman	Lchman	Lehman	L chman	Lehman	Lehman	Lehman
CASHESA	CARRY	CA64544	CN64546	CA14823	C 464513	SETTING S	CANASA	CA73901	CA73890	CA33924	CA31941	CALISS	CA38333	CA31956	CA31895	C431X17	CA31738	6059262	STORY.	8424809	C.538768	CAGASAS	CA38233	CAGASS	CANTEST	CA36823	0.059252	6059247	6059242	6059241	6059240	95,4400	1920509	4057259	6059258	5550509	6059254	9177509	FF26509	6654243	6059234	(573867	CATHUS	CA46523	CA6-1517	CA38030	CA37830	CARRIED CARRIED	CA46522	CA55328	01710
7581730588X	LING PREEDS	XS0331400530	XS0331504745	NS0330r45088	XX0326668436	VS0375780084	XS1331400027	VS0327940929	XS0325841368	NS033456037c	XS0326866307	XX0339560376	XXI369/99845	XS0326608436	XS0336645089	553688	160082	512250	767457	Total Par	0170740	769454	922860	743398	\$11872	K66307	801117	153807	337348	\$60.307	168352	176.498	11872	100203	53688	68057	50220	88569	15769	(mm27	04270	41503	80.515	66.307	12459	0.0027	Surve	14080	- Line 19	00530	86775
NSO35	A CONTRACTOR	XSu33	NSU331	NSuff	NS032	ACT ON	NS133	X90327	XS0325	NS033-	XS0326	TO S	Verities	ACTORY.	XS0336	NS017553688	XS0341160082	NS22189-1518X	NS03317(042)	NOTE STATE OF STREET	HIGT COST STOPY	-SH-02/11/608X	NS22294608N	NS0335743308	XS0349511872	XS0326864307	XS0335743308	XS2332153807	XS0329337348	XS0326860307	NS0326608352	NS0476076498	XSnavsusz	XS0330560203	NS0337553688	Verstanting	NS033625022	XS0331769538	NS0331769454	XS03314000127	AS0320608279	X9(4)68(687)	XS0328580515	XS0326866307	XS0320865671	NS0331400027	XS0326026408	XS0317602X XS031664508X	XSHILLSERAGE	NS0331400530	XX(326026.193
LB 17 USD Dank Callable Fixed Coupon Note Sig3 11MAR09		60.0			8		.00						E 13.			(4)	2	05MAR09	2	ind a table		60			SMAR09			616	60.		£3	8	SMARO		e-			2	P.	0						<u> </u>	Z :	-			1
ote \$193	Frag	91.2380	60,10	ECon	36.270C	EC.	NO ZENON	60000	CLOS	ANIG	30 L		SUCCESSION OF CO	D072 9	ECO	Jant 0	NW15E 9	ate Sr78	S 25NO	FL.194	True and	8 23NOV	ECOV	ECON	ole SUS I	8 L	ECua	S 30NOV	VONES OF	6 L	7 270CT	2330CT	0 180S at	012	7.31DEC	E	E C	VONET	NONEE	1 23NOVB	96.	100	603	50L	ro:	MONET	TOOK!	ENOVE The	T09	BAONEE	130CT6
Coupon N	071 221	NoteS	8877 23N	8954 24D	Note 58	2070,000	Nate S8	S830 058	022 X178	S1(5) 183	5746 270	(8) cors	Note 5	Note Sec	H34 29D)	Note Su7	Note 502	Coupon N	vale Sa	N moment	Chr (S)	Note SS8	036 L4D	017 710	oupon No	748 270	947 21D	Note Sit	Note SS-	796 270	Note 57	Note S77	nupon Ne	VISI 101	Note 5970	3000 00	3012 81	Note Syst	Vote S888	Vote Sau	30/17/06	7050	ar arno	3012 961	13 190C	Vote State	Note S77	Falls Sabr	96.2700	iote Skoi	Note 577
ble Fixed	Salay lan	ca Accrus	ml Note!	no Note:	ge Accrus	and Nate	n Accrusi	und Note	ual Note 5	and Note.	and Note	To Note	c dicmit	e Accred	nl Note S	e Acertal	e Acenal	Me Fred	e Accress	de Engel	of Note: 5	Accreal	al Note 5	S atoN los	le Fred C	al Note 5	and Note 5	y Acertal	e Accrual	m Note S	w Accrual	e Acceusi	e-Fixed Ca	al Note S.	Account	al Note St	d Note Su	Accrual ?	Account !	Accres	n Note St	Note St	d Note SS	al Note Si	Note St	Acenal ?	Accrus	Acenda :	n Note S7	Account N	. Accrual
arh Calls	mee Acer	uring Rang	unge Acer	ange Accr	irtog Ran,	nige Area	rbag Rang	ange Ace	unge Accr	ange Ace	ange Acc	ange Ace	rhan Pan	rhae Rane	nge Acen	rbag Rang	rlag Kang	anh Calla	rosk Kang	משלה שמתם ניוורים יליי	noc Acres	han Rane	mre Acco	mge Acen	ah Callab	mge Acch	mge Acer	rhag Rang	reag Rang	inge Accri	ritog Rang	inge Aces. rhae Rans	A Callabi	ונכ אבכיני	trag Rung	nge Acenu pe Acene	uge Acerta	bag Range	bay Range	bay Range	ge Acert	or Acerta	де Ассли	age Aceru	ge Accru	bag Range	thay Range	Ng Kange oo Acerus	gy Accre	Ag Range	bag Range
LB 17 USD Dark Callable Fixed Corpon Note St	1.8 2V 150 Range Actual Note State 1705F199	LB 2V USD Arring Range Acertal Note SN91 23NOV09	LB 23 USD Range Acertal Note S877 23NOV09	LB 2Y USD Range Accrual Note Sy54 29DEC no	LB 27 USD Arring Range Acertal Note S80s 27OCT 09	1.8 2V HKD Raine Arrital Nate 577s, 210CT09	LB 27 1/SD Airlag Range Acertal Note \$890 23NO 109	LB 2Y AUD Range Acerual Note 5830 05NOV09	I.B 23 USD Range Accrual Note \$778 220CT 09	LB 2Y HKD Kange Acertal Note 5405 18JAN10	LB 2Y HKD Range Acerual Note 5796-210CT499	LE 27 IKD Range Acertal Note Stub 18JAN III	LB Z 327 UNY BODRICH HIMBIR A NOICE USD ZEMARTI I B 27 HKB Arrive Brane Arrival Noice 2002 30 NOVED	LB 23 USD Arrian Range Accreal Note State 370CT (9	LB 2Y USD Range Acertal Note 5954 29DEC09	1.B.2Y USD Airbag Range Acertal Note \$970-31DEC09	LB 2Y USD Arriag Range Accrual Note 5026 2512/N10	EB 17 HKD Dath Callable Fixed Coupan Nate Sr78 05MAR09	LB IN UND ARREST Kange Accord Nate SN88 23NOV09	LEBELT HALF KENDE ACCION NOTE 2016 (ALBELTINA) FROM THE DESIGNATION FOR A COMMON NAME SOCIAL CONTAINING	Color topics and antitude for the National Color of the Publication of	18.2 VSD Arrian Rance Accust Note SN88 23NOV09	LB 2 Y HKD Range Accept Note 5936 (4DECOS	LB 2 V HKD Range Acertal Note 5947 21 DECOM	LB I Y USD Dark Callable Fred Corpon Note St81 05MAR09	LB 2.7 HKD Range Accrual Note \$796. 270CT 09	LB2Y HKD Range Accrual Note SN47 21DECno	LB2N HKD Arring Range Actival Note S002 30NOV09	LR2Y HKD Airbag Range Acetual Note 5840 199NOV09	LB 2 V JIKD Range Accrual Note \$796, 270(T0)	LB2Y HKD Arring Range Actual Note 5799 270CT 03	LB2X HKD Arrise Range Accital Note 8779 230CT 09	LB I Y USD Daily Callable Fixed Coupon Note St81 05MARIP	LB 2 V USD Range Acertal Note S10.4 18LAN10	LB 2 Y USD Arring Range Actual Note 5/70 31DEC09	LB 2 V USD Pance Accord Note 5954 20DECHO	LB 2 Y USD Range Acerted Note S948 21 DEC119	LB 2 Y USD Airbag Range Accrual Note S889 23NOVitt	LB2Y USD Airlag Range Accrual Noie S888 23NOV09	LB ZY USD Airbag Range Acertai Note 5890 23NOV00	LB 2.3 USD Kange Accrast Sole S/97 2/QCT 99	LB 2.1 CO Kings Acoust Note Sea 200 (19)	LB 2 Y USD Range Accrual Note SN36-06NOV09	LB 23 HKD Range Actival Note \$796 270CT 09	LB 2Y USD Range Acertal Note SNU4 29OCT 09	LB 2 Y USD Airbag Range Acertal Note S890 23NOVIP	LB 2N HKD Airbay Range Actival Note \$779 230CT-09	LB 2Y USD Airbag Range Acertal Note S889 23NOVIII) LB 2Y USD Range Acertal Note 8754 29DECIV	1 B.2 Y. HKD Range Acertal Note \$700, 270CT09	LB 2Y USD Airlag Range Account Note Stat 23NOV09	EB 2N HKD Arrbag Range Acertal Note \$779 230CT09
<u> </u>	1	E .	=	<u>"</u>	9 9		=	LB.	=	1	=	1	<u> </u>	3 2	FB	1.0	1.8	9		9 2	Ē	1 2	133	18	<u> </u>	LB2	1.83	1.13	E 2	8	187	4 6	Ē	183	6	9 6	1.82	LB2	TB 3	<u> </u>	1	1 1	18	183	1.8 2	183	1.82	# E	183	183	=

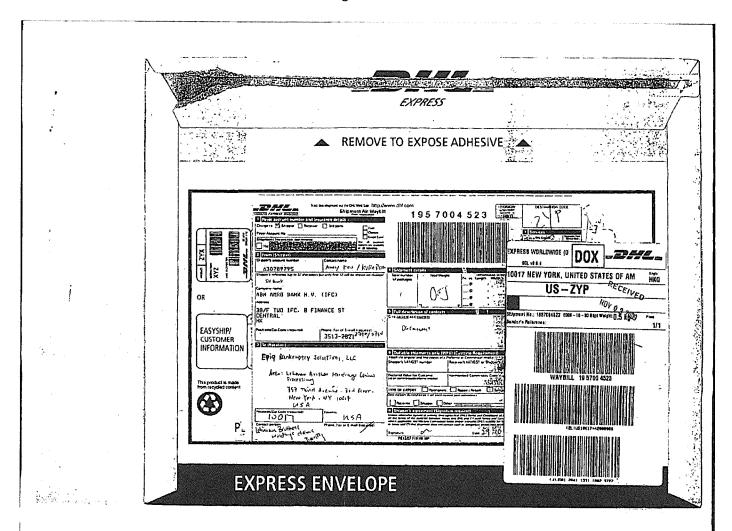
Schedule 2

Copies of LBHI Proofs of Claim

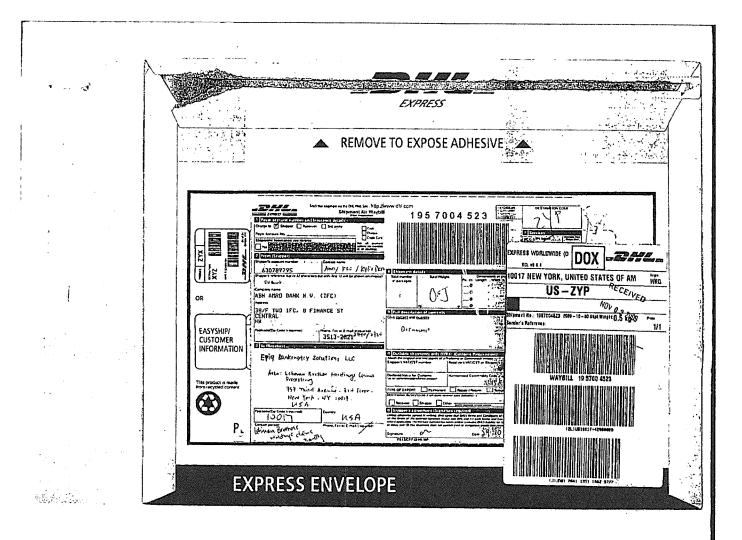
United States Bankruptcy Court/Southe	rn District of New York		
Cehman Brothers Holdings Claims Proce c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	ssing Center		URITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Souther Lehman Brothers t 08-1355:	Holdings Inc., Et Al.
Note: This form may not be used to based on Lehman Programs Secur http://www.lehman-docket.com as	ities as listed on		
Name and address of Creditor: (and name	e and address where notices should be so	ant if different from Creditor)	☐ Check this box to indicate that this
ABN AMRO Bank N.V.			claim amends a previously filed claim.
38/F Cheung Kong Center, 2 Queen's 1 Central, Hong Kong	Road,		Court Claim Number:
Telephone number: 852-2700-3000	Email Address:		(If known) Filed on:
Name and address where payment should	be sent (if different from above)		☐ Check this box if you are aware that
			anyone else has filed a proof of claim relating to your claim. Attach copy of
Telephone number:	Email Address:		statement giving particulars
and whether such claim matured or becan	nim based on Lehman Programs Securiti 2008, whether you owned the Lehman P ne fixed or liquidated before or after Sep able on September 15, 2008. If you are f amounts for each Lehman Programs Se	rograms Securities on Septembe tember 15, 2008. The claim a iling this claim with respect to n curity to which this claim relates	er 15, 2008 or acquired them thereafter, mount must be stated in United States nore than one Lehman Programs Security, 5.
			s a claust in all amount to be determined.
Amount of Claim: \$(as stat	•		
Check this box if the amount of claimProvide the International Securities	n includes interest or other charges in ad Identification Number (ISIN) for each L	dition to the principal amount d	ue on the Lehman Programs Securities.
this claim with respect to more than one L which this claim relates.	chman Programs Security, you may atta	ach a schedule with the ISINs fo	r the Lehman Programs Securities to
International Securities Identification	Number (ISIN): XS0336927909	(Requir	rd)
	ring Number, a Euroclear Bank Electron ") for each Lehman Programs Security bank, broker or other entity that holds s	ic Reference Number, or other of for which you are filing a claim.	depository blocking reference number, You must acquire a Blocking You are filing this plain with
Clearstream Bank Blocking Number, E	uroclear Bank Electronic Instruction	Reference Number and or oth	er depository blocking reference
number: 6059257	(Required)	*	
4. Provide the Clearstream Bank, Euro you are filing this claim. You must acquire accountholder (i.e. the bank, broker or oth numbers.	e the relevant Clearstream Bank, Euroch	ear Bank or other denository per	or Lehman Programs Securities for which ticipant account number from your tould not provide their personal account
Accountholders Euroclear Bank, Clears		ticipant Account Number:	
	(Required)		FOR COURT USE ONLY
consent to, and are deemed to have author disclose your identity and holdings of Leh reconciling claims and distributions.	man Programs Securities to the Debtors	or other depository to for the purpose of	FILED / RECEIVED
Oct 28, 2009 the executor or other pers	iling this claim must sign it. Sign and proposed in the state of the state of the notice address above Attach copy of the notice address above the	address and telephone	NOV 0 2 2009
Maria Leung Head of Retail Banking,	HK CEO, Retail & Cor	nmercial Markets, Asia	EPIQ BANKRUPTCY SOLUTIONS, FLC
Penalty for presenting fraudulent		prisonment for up to 5 years, or	hoth 18115 C 88 152 and 2571



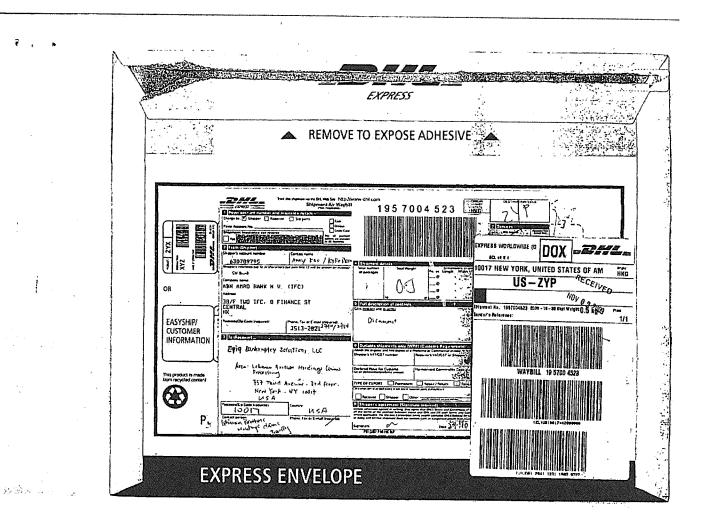
United States Bankruptcy Court/Southe	rn District of New York		
Lehman Brothers Holdings Claims Proce	ssing Center	LEHMANSEC	URITIES PROGRAMS
c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076		PROC	OF OF CLAIM
New York, NY 10150-5076			
In Re: Lehman Brothers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Filed: USBC - Sou	thern District of New York
Debtors.	(Jointly Administered)		rs Holdings Inc., Et Al. 3555 (JMP) 0000060925
Note: This form may not be used to	of the alatinus of their their their	6) (14) (11)	101 (1) TIS1(81 TI)
based on Lehman Programs Secur http://www.lehman-docket.com as	ities as listed on		
Name and address of Creditor: (and name		mt if different from Craditar)	Charletin Land in the standard
ABN AMRO Bank N.V.	valid address where notices should be se	an ir different nom Creditor)	☐ Check this box to indicate that this claim amends a previously filed claim.
38/F Cheung Kong Center, 2 Queen's	Road,		
Central, Hong Kong	-		Court Claim Number:
Telephone number: 852-2700-3000	Email Address:		Filed on:
Name and address where payment should	be sent (if different from above)		☐ Check this box if you are aware that
			anyone else has filed a proof of claim relating to your claim. Attach copy of
Tologhan			statement giving particulars
Telephone number:	Email Address:		
 Provide the total amount of your classification. Programs Securities as of September 15, 	aim based on Lehman Programs Securitie	es. Your claim amount must be	the amount owed under your Lehman
and whether such claim matured or becar	ne fixed or liquidated before or after Sen	tember 15, 2008. The claim a	mount must be stated in United States
dollars, using the exchange rate as applica	able on September 15, 2008. If you are fi	iling this claim with respect to a	note than one Lehman Programs Security
you may attach a schedule with the claim			
Creditor holds USD210,000 in aggregate	face value of the Lehman Programs Sec	urity described herein, and asse	rts a claim in an amount to be determined.
Amount of Claim: \$ (as stat	ement above) (Required	d)	
Check this box if the amount of clair	n includes interest or other charges in ad	dition to the principal amount of	lue on the Lehman Programs Securities
2. Provide the International Securities	Identification Number (ISIN) for each 1.	chman Programs Security to w	high this claim relates. If you are filing
this claim with respect to more than one I which this claim relates.	chman Programs Security, you may atta	ich a schedule with the ISINs fo	or the Lehman Programs Securities to
International Securities Identification ?	Vienkon (ICIN). VChance (Cono		
		(Requi	
as appropriate (each, a "Blocking Number	king Number, a Euroclear Bank Electron	for which you are filing a claim.	Vou must acquire a Pleaking
Number from your accountholder (i.e. the	bank, broker or other entity that holds s	uch securities on your behalf)	If you are filing this alaim with reason
to more than one Lehman Programs Secur claim relates.	nty, you may attach a schedule with the	Blocking Numbers for each Lel	man Programs Security to which this
Clarenten Paul Walder V. J. W			
Clearstream Bank Blocking Number, E number:	uroclear Bank Electronic Instruction	Reference Number and or otl	ner depository blocking reference
6059255	(Required)		
4. Provide the Clearstream Bank, Euro	clear Bank or other depository participar	nt account number related to you	ur Lehman Programs Securities for which
you are ming this claim. You must acquir	e the relevant Clearstream Bank, Eurock	ear Bank or other depository no	rticipant account number from your
accountholder (i.e. the bank, broker or oth numbers.	d dittly that noids such securities on yo	our behalf). Beneficial holders si	hould not provide their personal account
Accountholders Euroclear Bank, Clears	stroom Rank or Other Demostram Dem	efaturana a anno da NV - V	
92496		ucipant Account Number:	
	(Required)		FOR COURT USE ONLY
Consent to Euroclear Bank, Clear consent to, and are deemed to have author	stream Bank or Other Depository: By	filing this claim, you	TON COOK! USE ONE!
disclose your identity and holdings of Leh	man Programs Securities to the Debtors	for the purpose of	FILED / RECEIVED
reconciling claims and distributions. Date. Signatures. The person f	iling this claim must aim (a. 6)	122 50	
the creditor or other pers	iling this claim must sign it. Sign and pri on authorized to file this claim and state	addressand telephone	NOV 0 2 2009
Oct 28, 2009 number if different from	the notice address above. A tach copy o	power of attorney, if any.	1 0 2 2000
(11)/0			EPIQ BANKRUPTCY SOLUTIONS, LLC
Maria Laung	Jun Brown		TO OCCUTIONS, LLC
Head of Retail Banking,	UEO, Rétail & Con	nmercial Markets, Asia	



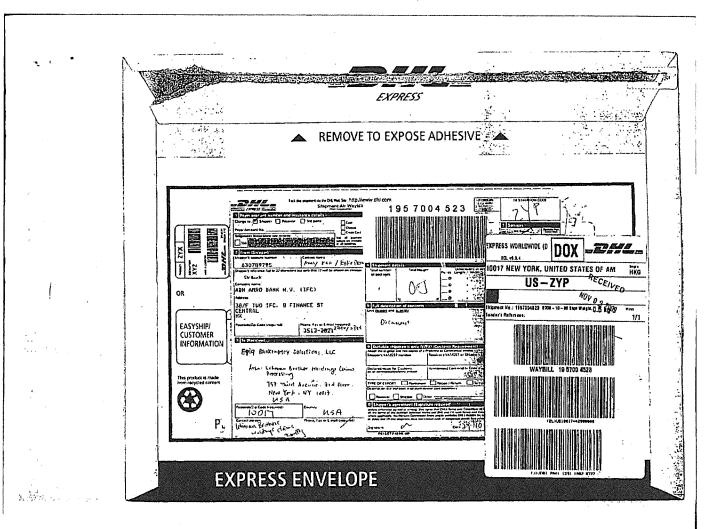
United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center	HMAN SECURITIES PROGRAMS
Co chid pandapicy Soldions, EEC	PROOF OF CLAIM
FDR Station, P.O. Box 5076 New York, NY 10150-5076	PROOF OF CLAIM
In Re: Chapter 11	
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.
Debtors. (Jointly Administered)	08-13555 (JMP) 0000060928
Notes This form was said and by the said and a	### # # ## #### #######################
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on	
http://www.lehman-docket.com as of July 17, 2009	
	∑
Name and address of Creditor: (and name and address where notices should be sent if different and address of Creditor).	
ABN AMRO Bank N.V.	claim amends a previously filed claim.
38/F Cheung Kong Center, 2 Queen's Road, Central, Hong Kong	Court Claim Number:
	(If known)
Telephone number: 852-2700-3000 Email Address;	P141
Name and address where payment should be sent (if different from above)	Filed on:
the same of the sa	Check this box if you are aware that anyone else has filed a proof of claim
	relating to your claim. Attach copy of
Telephone number: Fmail Address:	statement giving particulars
Contain Audi 653.	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your cla	im amount must be the amount owed under your Lehman
Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Se and whether such claim matured or became fixed or liquidated before or after September 15,	curities on September 15, 2008 or acquired them thereafter,
dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this cli-	aim with respect to more than one I chemn Brown Course.
you may attach a schedule with the claim amounts for each Lehman Programs Security to wh	nich this claim relates.
Creditor holds USD190,000 in aggregate face value of the Lehman Programs Security descrit	bed herein, and asserts a claim in an amount to be determined
Amount of Claim: \$ (as statement above) (Required)	
☐ Check this box if the amount of claim includes interest or other charges in addition to the	
 Check this box if the amount of claim includes interest or other charges in addition to the Provide the International Securities Identification Number (ISIN) for each Lehman Programme Includes the International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification Number (ISIN) for each Lehman Programme Includes International Securities Identification International Identification International Identification Identificat	e principal amount due on the Lehman Programs Securities.
ans claim wan respect to more than one Lenman Programs Security, you may attach a schedu	grams Security to which this claim relates. If you are filing alle with the ISINs for the Lehman Programs Securities to
which this claim relates.	and the lotter for the Comman Programs Securities to
International Securities Identification Number (ISIN): XS0336250229	(m.).
	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference	e Number, or other depository blocking reference number,
as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you Number from your accountholder (i.e. the bank, broker or other entity that holds such securiti	ou are filing a claim. You must acquire a Blocking
to more than one Lenman Programs Security, you may attach a schedule with the Blocking N	umbers for each Lehman Programs Security to which this
claim relates.	The second of th
Clearstroom Rank Blocking Number Eurosteen Beat Floring Land	<u>.</u>
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference number:	Number and or other depository blocking reference
6059254 (Required)	j
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account n	number related to your Labour D.
you are ming one claim. I ou must acquire the relevant Clearstream Bank, Euroclear Bank or	other denository participant account number from
accommoder (i.e. the bank, broker or other entity that holds such securities on your behalf).	Beneficial holders should not provide their personal account
numbers.	
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Ac	count Number:
92496 (Required)	
	FOR COURT (OR ONLY
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this consent to, and are deemed to have authorized Euroclear Bank. Clearstream Bank or other de-	claim, you FOR COURT USE ONLY
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other ded disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose.	nository to
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other dedisclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpreconciling claims and distributions.	pository to pose of FILED / RECEIVED
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other dedisclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpreconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and	pository to pose of FILED / RECEIVED
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other dedisclose your identity and holdings of Lehman Programs Securities to the Debtors for the purp reconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and the creditor or other person authorized to file this claim and state address and the creditor or other person authorized to file this claim.	pository to pose of FILED / RECEIVED d title, if any, of Herlenbone NOV 0 2 2009
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other dedisclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpreconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and	pository to pose of FILED / RECEIVED d title, if any, of Herlenbone NOV 0 2 2009
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other dedisclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpreconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and the creditor or other person authorized to file this claim and state address appropriate to the person of the person filing this claim must sign it. Sign and print name and the creditor or other person authorized to file this claim and state address appropriate to the person of the person filing this claim must sign it. Sign and print name and the creditor or other person authorized to file this claim and state address appropriate to the person filing this claim must sign it. Sign and print name and the creditor or other person filing this claim must sign it. Sign and print name and the creditor or other person filing this claim must sign it. Sign and print name and the creditor or other person filing this claim must sign it. Sign and print name and the creditor or other person filing this claim must sign it. Sign and print name and the creditor or other person filing this claim must sign it. Sign and print name and the creditor or other person authorized to file this claim.	pository to pose of FILED / RECEIVED d title, if any, of Herlenbone NOV 0 2 2009
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other dedisclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpreconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and the creditor or other person authorized to file this claim and state address and the creditor or other person authorized to file this claim.	pository to pose of d title, if any, of belephone attorney, if any. FILED / RECEIVED NOV 0 2 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC



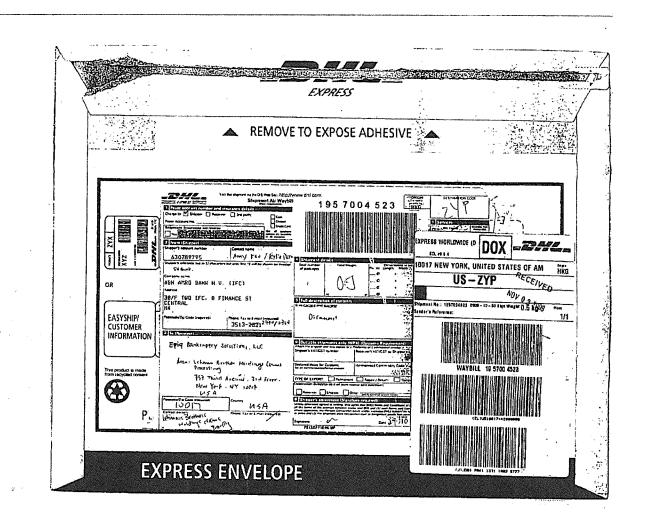
Have Company of the C	
FDR Station, P.O. Box 5076 New York, NY 10150-5076	EHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re: Chapter 11 Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	Filed: USBC - Southern District of New York
Debtors. (Jointly Administered)	Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000060929
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be sent if different and address of Creditor: (and name and address where notices should be sent if different and address of Creditor: (and name and address where notices should be sent if different and address of Creditor: (and name and address where notices should be sent if different and address where notices should be sent if different and address where notices should be sent if different and address where notices should be sent if different and address where notices should be sent if different and address where notices should be sent if different and address where notices should be sent if different and address where notices should be sent if different and address where notices should be sent if different and address where notices are not considered and address where notices are not considered and address where notices are not considered and address where not conside	
ABN AMRO Bank N.V. 38/F Cheung Kong Center, 2 Queen's Road, Central, Hong Kong	claim amends a previously filed claim. Court Claim Number:
Telephone number: 852-2700-3000 Email Address:	(If known)
Name and address where payment should be sent (if different from above)	Filed on:
reache and address while payment should be sent (if different from above)	☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone number: Email Address:	
Provide the total amount of your claim based on Lehman Programs Securities. Your of Programs Securities as of September 15, 2008, whether you owned the Lehman Programs and whether such claim matured or became fixed or liquidated before or after September 1 dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this you may attach a schedule with the claim amounts for each Lehman Programs Security to verify the Creditor holds USD50,000 in aggregate face value of the Lehman Programs Security described to the Claim: Creditor Claim:	Securities on September 15, 2008 or acquired them thereafter, 5, 2008. The claim amount must be stated in United States claim with respect to more than one Lehman Programs Security, which this claim relates.
☑ Check this box if the amount of claim includes interest or other charges in addition to	the maintained amount to a mark that the
2. Provide the International Securities Identification Number (ISIN) for each Lehman Problem with respect to more than one Lehman Programs Security, you may attach a schewhich this claim relates.	rograms Security to which this claim relates. If you are filling
International Securities Identification Number (ISIN): XS0331769538	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Refere as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which Number from your accountholder (i.e. the bank, broker or other entity that holds such secur to more than one Lehman Programs Security, you may attach a schedule with the Blocking claim relates.	you are filing a claim. You must acquire a Blocking
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference number: 6059246 (Required)	ce Number and or other depository blocking reference
(sequitor)	
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant accountyou are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf numbers.	Of Other depository participant account number from your
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant	Account Number:
92496 (Required)	
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other disclose your identity and holdings of Lehman Programs Securities to the Debtors for the put	depository to
reconciling claims and distributions.	
Date. Signature: The person filing this claim must sign it. Sign and print name a the creditor or other person authorized to file this claim and state address a number if different from the notice address above. Attach copy of power of the creditor of the person authorized to file this claim and state address a number if different from the notice address above. Attach copy of power of the creditor of the person filing this claim must sign it. Sign and print name at the creditor of the person filing this claim must sign it. Sign and print name at the creditor of the person filing this claim must sign it. Sign and print name at the creditor of the person authorized to file this claim and state address a number of the person authorized to file this claim and state address a number of the person authorized to file this claim and state address a number of the person authorized to file this claim and state address a number of the person authorized to file this claim and state address a number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and state address and number of the person authorized to file this claim and the person authorized t	and telephone III NOV 0.2.2009 III
Maria Leung Head of Retail Banking, HK CFO Reput & Compression	EPIQ BANKRUPTCY SOLUTIONS, LLC



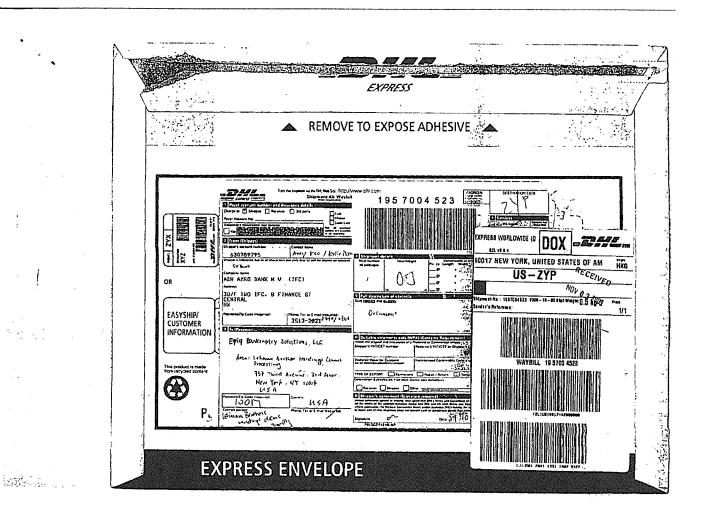
United States Bankruptcy Court/Southern District of New York				
Lehman Brothers Holdings Claims Processing Center	LEHMAN SECURITIES PROGRAMS			
c/o Epiq Bankruptey Solutions, LLC FDR Station, P.O. Box 5076	PROOF OF CLAIM			
New York, NY 10150-5076				
In Re: Chapter 11	Filed: USBC - Southern District of New York			
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	Lehman Brothers Holdings Inc., Et Al.			
Debtors. (Jointly Administered)	08-13555 (JMP) 0000060930			
	414 1 1 614 RT 1 6 4 4 1 R 1 F 1 6 1 1 4 1 R 1 R 1 R 1 R 1 R 1 R 1 R 1 R 1			
Note: This form may not be used to file claims other than those				
based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	B1/ 1 / D1##H1 # D1 1 1 1 1 1 1 1 1 1			
	And the same of the contract of			
Name and address of Creditor: (and name and address where notices should be s				
ABN AMRO Bank N.V.	claim amends a previously filed claim.			
38/F Cheung Kong Center, 2 Queen's Road,	Court Claim Number:			
Central, Hong Kong	(If known)			
Telephone number: 852-2700-3000 Email Address:	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
	Filed on:			
Name and address where payment should be sent (if different from above)	☐ Check this box if you are aware that			
	anyone else has filed a proof of claim			
	relating to your claim. Attach copy of			
Telephone number: Email Address:	statement giving particulars			
1. Provide the total amount of your claim based on Lehman Programs Securit Programs Securities as of September 15, 2008, whether you owned the Lehman	ies. Your claim amount must be the amount owed under your Lehman			
and whether such claim matured or became fixed or liquidated before or after Se	ntember 15, 2008. The claim amount must be stated in United States			
dollars, using the exchange rate as applicable on September 15, 2008. If you are	filing this claim with respect to more than one I chman Programs Security			
you may attach a schedule with the claim amounts for each Lehman Programs So	ecurity to which this claim relates.			
Creditor holds USD80,000 in aggregate face value of the Lehman Programs Sect	min donathod to the order or described to the control of the contr			
Section fields 0.0000,000 in aggregate face value of the Leithian Programs Sect	irky described herein, and asserts a claim in an amount to be determined.			
Amount of Claim: \$ (as statement above) (Require	ed)			
The Charles to the control of the co				
Check this box if the amount of claim includes interest or other charges in a	ddition to the principal amount due on the Lehman Programs Securities.			
2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lehman Programs Security, you may att	Lehman Programs Security to which this claim relates. If you are filing			
which this claim relates.	ach a schedule with the ISINs for the Lehman Programs Securities to			
International Securities Identification Number (ISIN): XS0331769454	(Required)			
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron	nia Passarana Nimban arrath danida hi hi hi			
as appropriate (each, a "Blocking Number") for each Lehman Programs Security	for which you are filing a claim. You must acquire a Blocking			
Number from your accountholder (i.e. the bank, broker or other entity that holds	such securities on your behalf). If you are filing this claim with respect			
to more than one Lehman Programs Security, you may attach a schedule with the	Blocking Numbers for each Lehman Programs Security to which this			
claim relates.				
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction	Reference Number and or other depository blocking reference			
number:	Reference value of other depository blocking reference			
6059244(Required)				
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which				
you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your				
accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account				
numbers.	geraena. usabum			
Accountholders Europlean Ronk Classification Book and Colored	materia, and A			
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Pa	rucipani Account Number:			
92496(Required)				
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: B	y filing this claim, you FOR COURT USE ONLY			
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Ban	k or other depository to			
disclose your identity and holdings of Lehman Programs Securities to the Debton	s for the numose of			
reconciling claims and distributions.	FILED / RECEIVED			
Date. Signature: The person filing this claim must sign it. Sign and p	rint name and title, if any, of			
the ereditor or other person authorized to file this claim and state of the property of the notice address above. Attach copy	e address and telephone NOV 0 2 2009			
and the state of t	opported of antithey, it airy.			
1 June -1/	FRIA BASTURAL AND			
Maria Leung Maria Denking HV GEO Barris & G	EPIQ BANKRUPTCY SOLUTIONS, LLC			
	unrisonment for un to S years, or both 18 U.S.C. && 152 and 3571			



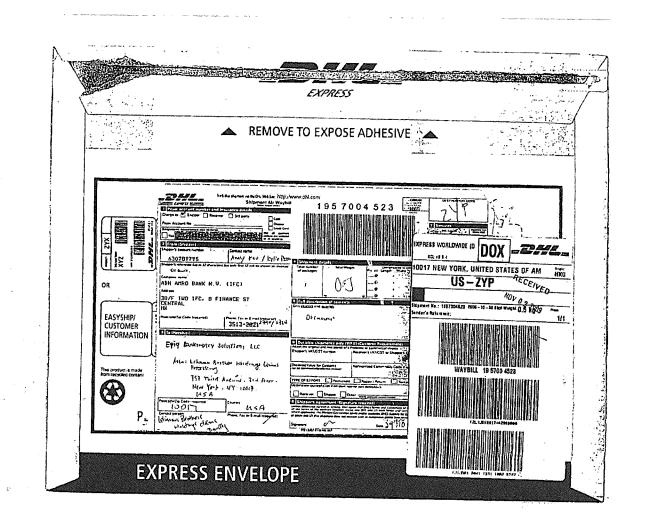
United States Bankruptcy Court/Southern District of New York				
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 1 I Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et AJ. 08-13555 (JMP) 0000060931			
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.dehman-docket.com as of July 17, 2009				
Name and address of Creditor: (and name and address where notices should be sen	t if different from Creditor) Check this box to indicate that this			
ABN AMRO Bank N.V. 38/F Cheung Kong Center, 2 Queen's Road,	claim amends a previously filed claim.			
Central, Hong Kong	Court Claim Number: (If known)			
Telephone number: 852-2700-3000 Email Address:	Filed on:			
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars			
Telephone number: Email Address:				
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.				
Creditor holds USD50,000 in aggregate face value of the Lehman Programs Securit	y described herein, and asserts a claim in an amount to be determined.			
Amount of Claim: S (as statement above) (Required)				
Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.				
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.				
International Securities Identification Number (ISIN): XS0331400027	(Required)			
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic as appropriate (each, a "Blocking Number") for each Lehman Programs Security for Number from your accountholder (i.e. the bank, broker or other entity that holds sue to more than one Lehman Programs Security, you may attach a schedule with the Bl claim relates.	which you are filing a claim. You must acquire a Blocking			
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction R number: 6059243 (Required)	eference Number and or other depository blocking reference			
(coguna)				
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclean accountholder (i.e. the bank, broker or other entity that holds such securities on your numbers.	Honk or other description is a			
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Partie	ipant Account Number:			
92496(Required)				
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By fi consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or disclose your identity and holdings of Lehman Programs Securities to the Debtors fo reconciling claims and distributions.	other denocitors to			
Date. Signature: The person filing this claim must sign it. Sign and print the creditor or other person authorized to file this claim and state ac	dress and telephone			
oct 28, 2009 number if different from the notice address allove. Attach copy of p	ower of attorney, if any. EPIQ BANKRUPTCY SOLUTIONS, LLC			
Maria Leung Head of Retail Banking, HK CEO, Retail & Comn Penalty for presenting fraudulant slaim: Fine of the A 500 000	nercial Markets, Asia			



United States Bankruptcy Court/Souther	rn District of New York		
Lehman Brothers Holdings Claims Proce c/o Epiq Bankruptey Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	ssing Center		URITIES PROGRAMS OF OF CLAIM
In Re:	Chapter 11		
Lehman Brothers Holdings Inc., et al.,	Case No. 08-13555 (JMP)	Filed: USI Lehma	BC - Southern District of New York on Brothers Holdings Inc., Et Al:
Debtors.	(Jointly Administered)		08-13555 (JMP) 0000060932
Note: This form may not be used to based on Lehman Programs Secur	ities as listed on		
http://www.lehman-docket.com as		the terminal of the	
Name and address of Creditor: (and name	and address where notices should be se	ant if different from Creditor)	☐ Check this box to indicate that this
ABN AMRO Bank N.V. 38/F Cheung Kong Center, 2 Queen's I			claim amends a previously filed claim.
Central, Hong Kong	Koad,		Court Claim Number:
Telephone number: 852-2700-3000	Email Address:		(If known) Filed on:
Name and address where payment should	be sent (if different from above)		☐ Check this box if you are aware that
	,		anyone else has filed a proof of claim relating to your claim. Attach copy of
Telephone number:	Email Address:	\$	statement giving particulars
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.			
Creditor holds USD150,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.			
Amount of Claim: \$ (as stat	ement above) (Require	d)	
☑ Check this box if the amount of claim	n includes interest or other charges in ac	idition to the principal amount d	ue on the Lehman Programs Securities
Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.			
International Securities Identification !	Number (ISIN): XS0326608279	(Requi	red)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.			
Clearstream Bank Blocking Number, E	uroclear Bank Electronic Instruction	Reference Number and or oth	ner depository blocking reference
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: 6059239			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securifies on your behalf). Beneficial holders should not provide their personal account numbers.			
Accountholders Euroclear Bank, Clear	stream Bank or Other Depository Pai	rticipant Account Number:	
92496	(Required)		
consent to, and are deemed to have author disclose your identity and holdings of Leh reconciling claims and distributions.	man Programs Securities to the Debtors	k or other depository to for the purpose of	FOR COURT USE ONLY FILED / RECEIVED
the sæditor or other pers	iling this claim must sign it. Sign and pu on authorized to file this claim and state the notice address above. Attach copy	address and telephone	NOV 0 2 2009
Maria Leung Head of Retail Banking, Penalty for presenting fraudulan		mmercial Markets, Asia	EPIQ BANKRUPTCY SOLUTIONS, ELC



United States Bankruptcy Court/Southern District of New York			
Lehman Brothers Holdings Claims Processing Center	LEHMAN SECURITIES PROGRAMS		
c/o Epiq Bankruptey Solutions, LLC FDR Station, P.O. Box 5076	PROOF OF CLAIM		
New York, NY 10150-5076			
In Re: Chapter 11	Filed: USBC - Southern District of New York		
Lehman Brothers Holdings Inc., et al., Debtors. Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothers Holdings Inc., Et Al.		
(Jointy Administered)	08-13555 (JMP) 0000060933		
Note: This form may not be used to file claims other than those			
based on Lehman Programs Securities as listed on			
http://www.lehman-docket.com as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be see	nt if different from Creditor)		
ABN AMRO Bank N.V.	claim amends a previously filed claim.		
38/F Cheung Kong Center, 2 Queen's Road,	Court Clair Nove to a		
Central, Hong Kong	Court Claim Number:(If known)		
Telephone number: 852-2700-3000 Email Address:			
Name and address where payment should be sent (if different from above)	Filed on:		
than and address where payment should be sent (it different from above)	☐ Check this box if you are aware that anyone else has filed a proof of claim		
	relating to your claim. Attach copy of		
Telephone number: Email Address:	statement giving particulars		
1. Provide the total amount of your claim based on Lehman Programs Securities Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities and whether your owned the Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities and whether your owned the Lehman Programs Securities and Whether Your Owned the Lehman Programs Securities and Whether Your Owned the Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities and Whether Your Owned the New Your Owned th	s. Your claim amount must be the amount owed under your Lehman		
and whether such claim matured of became fixed of houidated before or after Sent	ember 15, 2008. The claim amount must be contest in United States		
I donars, using the exchange rate as applicable on September 15, 210x, it you are to	ling this claim with respect to more than and I share December 5		
you may attach a schedule with the claim amounts for each Lehman Programs Sec	urity to which this claim relates.		
Creditor holds USD250,000 in aggregate face value of the Lehman Programs Secu	trity described herein, and asserts a claim in an amount to be determined		
1	and the second second second in the second to be determined,		
Amount of Claim: \$ (as statement above) (Required)		
Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.			
2. Provide the international Securities Identification Number (ISIN) for each L	hman Programs Security to which this alain release to		
this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.			
International Securities Identification Number (ISIN): XS0325841368	(Required)		
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronia	c Reference Number, or other denository blocking reference number		
as oppropriate (each, a lowering runner i for each Lehman Programs Security to	or which you are filing a claim. Vou much assuits a Diagter		
i stantoci from your accountificaci (i.e. the bank, broker or other entity that holds of	ich securities on your behalf. If you are filing this alaim with		
to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.			
Cleaned areas Bank Block N. E. W. C. B. C.			
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number:	Reference Number and or other depository blocking reference		
(Required)			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participan	Egggount number valuted to your Lake P		
Jos are marg and claun. Tou must acquire me relevant Clearsmeam Bank, Furncie	3r Hank or other denocitors portionant assessed and the Control of		
accountholder (i.e. the bank, broker or other entity that holds such securities on you numbers.	ir behalf). Beneficial holders should not provide their personal account		
Mariota,			
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Part	icipant Account Number:		
92496 (Required)			
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By	FOR COURT USE ONLY		
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank	or other denository to		
disclose your identity and holdings of Lehman Programs Securities to the Debtors t	or other depository to or the purpose of FILED / RECEIVED		
reconciling claims and distributions.			
Date. Signature: The person filing this claim must sign it. Sign and printer creditor or other person authorized to file the claim and state.	nt name and title, if any, of NOV 0 2 2009		
Oct 28, 2009 number of different from the notice address about. Attach copy of			
	EPIQ BANKRUPTCY SOLUTIONS, LLC		
Maria Leung	- A ALEGERIA LAL ANDALIANS' FFA		
	mercial Markets, Asia		
	risonment for up to 5 years or hoth 18 ITS C 88 152 and 3571		



United States Bunkruptcy Court/Southern District of New York			
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptey Solutions, LLC FDR Station, P.O. Box 5076		URITIES PROGRAMS F OF CLAIM	
New York, NY 10150-5076	Filed Deng. c-	the Ministrative Williams	
In Re: Chapter 11		them District of New York irs Holdings Inc., Et Al.	
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) Debtors. (Jointly Administered)		3555 (JMP) 0000061149	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009			

Name and address of Creditor: (and name and address where notices should be SUN WENHUA QIN LAO HU TONG 21 HAO, DO BEJJING, CHINA.	NG CHENG QU.	Cheek this box to indicate that this claim amends a previously filed claim. Court Claim Number:	
Telephone number 1-626-248-5493 Email Address: Maken	1. 101@hocmail.com	(If known) Filed on:	
Name and address where payment should be sent (if different from above) SUN WENHUA 1211 WELLINGTON AVE PASADENA, CA 911. Telephone number 1-626-248-5993 Email Address: works		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
1. Provide the total amount of your claim based on Lehman Programs Secur Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after Sdollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs.	ities. Your claim amount must be it Programs Securities on Septembe eptember 15, 2008. The claim and office this claim with respect to m	r 15, 2008 or acquired them thereafter, nount must be stated in United States ore than one Lehman Programs Security.	
Creditor holds USD 320, 000 in aggregate face value of the Lehman Program determined.	s Security described herein, and as	serts a claim in an amount to be	
Amount of Claim; S (as statement above) (Required)			
Check this box if the amount of claim includes interest or other charges in	addition to the principal amount de	ie on the Lehman Programs Securities.	
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the fSINs for the Lehman Programs Securities to which this claim relates.			
International Securities Identification Number (ISIN): XS032	6865671	(Required)	
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Elect as appropriate (each, a "Blocking Number") for each Lehman Programs Securit	onic Reference Number, or other d	enesitary blacking reference number	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with the claim relates.	y for which you are filing a claim. s such securities on your behalf). If	You must acquire a Blocking	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with declaim relates.	y for which you are tiling a claim, s such securities on your behalf). It he Blocking Numbers for each Leh	You must acquire a Blocking you are filing this claim with respect man Programs Security to which this	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with a claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructionumber:	y for which you are tiling a claim, s such securities on your behalf). It he Blocking Numbers for each Leh	You must acquire a Blocking you are filing this claim with respect man Programs Security to which this	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with it claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number: CA73897 (Required) 4. Provide the Clearstream Bank. Euroclear Bank or other depository particly you are filing this claim. You must acquire the relevant Clearstream Bank. Euroclear Bank is claim. You must acquire the relevant Clearstream Bank. Euroclear Bank is claim.	y for which you are filing a claim, such securities on your behalf). He Blocking Numbers for each Lebon Reference Number and or oth part account number related to you telear Bank or other depository par your behalf). Beneficial holders sh	You must acquire a Blocking 'you are filing this claim with respect man Programs Security to which this er depository blocking reference r Lehman Programs Securities for which	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with it claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructionamber: CA73897 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository particity you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank accountholder (i.e. the bank, broker or other entity that holds such securities on	y for which you are filing a claim, such securities on your behalf). He Blocking Numbers for each Lebon Reference Number and or oth part account number related to you telear Bank or other depository par your behalf). Beneficial holders sh	You must acquire a Blocking 'you are filing this claim with respect man Programs Security to which this er depository blocking reference r Lehman Programs Securities for which	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with it claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructionamber: CA73897 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository particity you are filing this claim. You must acquire the relevant Clearstream Bank. Euroclear Bank or other becauties on numbers. Accountholder (i.e. the bank, broker or other entity that holds such securities on numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Passes (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository:	y for which you are filing a claim, such securities on your behalf). It is Blocking Numbers for each Leb on Reference Number and or oth part account number related to you selear Bank or other depository par your behalf). Beneficial holders sharticipant Account Number:	You must acquire a Blocking 'you are filing this claim with respect man Programs Security to which this er depository blocking reference r Lehman Programs Securities for which	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with it claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructionamber: CA73897 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository particity you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other securities on numbers. Accountholder (i.e. the bank, broker or other entity that holds such securities on numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Fa9529 (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Baik or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Baik or Other Depository: consent to gain are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to gain and distributions.	y for which you are filing a claim. It is such securities on your behalf). It is Blocking Numbers for each Leb on Reference Number and or other and account number related to you relear Bank or other depository par your behalf). Beneficial holders sharticipant Account Number: By filing this claim, you ask or other depository to ors for the purpose of	You must acquire a Blocking 'you are filing this claim with respect man Programs Security to which this er depository blocking reference r Lehman Programs Securities for which ticipant account number from your ould not provide their personal account	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with it claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number: CA73897 (Required) 4. Provide the Clearstream Bank. Euroclear Bank or other depository particle you are filing this claim. You must acquire the relevant Clearstream Bank. Euroclear Bank or other Depository particles on numbers. Accountholder (i.e. the bank, broker or other entity that holds such securities on numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository: consent to Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank or Other Depository: consent to, and are deemed to have authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state or other person authorized to file this claim and state	y for which you are filing a claim. s such securities on your behalf). It ne Blocking Numbers for each Leb on Reference Number and or oth pant account number related to you nelear Bank or other depository par your behalf). Beneficial holders sh articipant Account Number: By filing this claim, you nk or other depository to ors for the purpose of print name and title, if any, of attenders and telephone	You must acquire a Blocking 'you are filing this claim with respect man Programs Security to which this er depository blocking reference r Lehman Programs Securities for which ticipant account number from your ould not provide their personal account	
Number from your accountholder (i.e. the bank, broker or other entity that hold to more than one Lehman Programs Security, you may attach a schedule with it claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructional Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructional Clearstream Bank Clearstream Bank Electronic Instructional Clearstream Bank Electronic Instructional Clearstream Bank Electronic Instructional Clearstream Bank Electronic Instructional Electronic Instructional Clearstream Bank or Other Depository Pages 19829 (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized. Euroclear Bank, Clearstream Bank or Other Depository: consent to, and are deemed to have authorized and the Electronic Instruction	y for which you are filing a claim. Is such securities on your behalf). It is Blocking Numbers for each Lebon Reference Number and or other and account number related to you clear Bank or other depository par your behalf). Beneficial holders sharticipant Account Number: By filing this claim, you make or other depository to ors for the purpose of print name and title, if any, of ate address and telephone yof power of automey, if any,	You mest acquire a Blocking 'you are filing this claim with respect man Programs Security to which this er depository blocking reference of Lehman Programs Securities for which delipant account number from your ould not provide their personal account FOR COURT USE ONLY FILED / RECEIVED	

•

ε

e e

i

A,

i

*

,

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptey case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptey Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attomey. Criminal penalties apply for making a lalse statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptey filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptey filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing e/o Epiq Bankruptey Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security Any security included on the list designated

**TLehman Programs Securities" available on https://www.lehman-docker.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim
To receive acknowledgment of your filing, you
may either enclose a stamped self-addressed
envelope and a copy of this proof of claim, or you
may access the Claims Agent's system
(http://www.lchiman-docker.com) to view your
filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptey court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(c), any applicable provisions of the Bankruptey Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptey court.

neCheung	Clearstream Banking - CreationOnline	5:26 AM CE
Security Post Release		
Trade Details		
Type of Settlement Transaction Pool Reference	Securities Blocking	
Function of Message	New Message	
Instruction Type	Receive Free	
Activity-Status	Settlement-Settled	
ISIN Code	X\$0326865671	
Common Code	032686567	
Financial Instrument Description	USD 35,04 LEHMAN BROS.TREAS 07-2009	
Place of Safekeeping	IRVTGB2XGPT	
Common Code Type	Single	
Reason-Narrative		
Sender's Reference	NONREF	
Last Update	10/14/09 5:05 AM	
Previous Reference	1011 4700 0.00 PM	
Deal Reference		
Common Reference		
Safekeeping Account	89529 - ABN AMRO (HK) NOM-CONS. BKING CLT	
Quantity of Financial Instrument	320,000	
Quantity Type	Face Amount	
Processing Indicator		
Requested Settlement Date	10/14/09	
Trade Date/Time	10/14/09	
Closing Date	at P	
Priority:	Normal Priority	
Settlement Amount		
Currency		
Guaranteed Delivery	No	
Deal Price		
Deal Price Currency		
Deal Price Format		
Taxable Income Per Share		
Taxable Income Per Share Currency		
Taxable Income Per Share Format	,	
FX Currency		
Delivery Without Matching	Yes	
Deal		
Trano	CA73897	
Common		
Transaction Indicator	Settlement Activity	
Actual Settled Amount	ă.	
Exp./Eff. Settlement Date	10/14/09	
Exp./Eff. Value Date		
Best Matching Instruction	t.	
Settlement Parties		
Clearstream Depository BIC Address	[6B] BKNYMELLON, LDN	
Place of Settlement	IRVTGB2XGPT	
Physical Transaction	CEDELULLXXX - Clearstream	
Immediate Release	No No	
Delivering Agent		
Pany	Clearstream 89529	
Description		
Settlement parties :	UNPUBLISHED	
Receiving Agent	Clearstream - 89529	
Settlement Processing Narrative	CONCOL OF COCCOLORS	
Additional Information	CONSELUX 000032686567 OCE CONSENT	YOUR INX
Input Media	! Internal	
References:	To grade a product	

10/14/09

08-13555-mg Doc 55570 Filed 06/15/17 Entered 06/15/17 15:21:38 Main Document Pg 38 of 73

K	atharineCheung	Clearstream Banking - Creation	Online	5:26 AM CET
۲	Type Clearstream CA Notification	Reference 02M4FA000397 0000000065490318	20. 20	

ineCheung	Clearstream Banking - Cre	ationOnline		5:30 AM CET
Security Post Release	1			
Trade Details				
Type of Settlement Transaction	Securities Blocking			
Pool Reference	¥.			
Function of Message	New Message			
Instruction Type	Receive Free			
Activity-Status	Settlement-Settled			
ISIN Code	XS0328586515			
Common Code	032858651			
Financial Instrument Description	USD FL.R LEHMAN BROS TR	EAS 07-2009		
Place of Safekeeping	IRVTGB2XGPT	2,2,003		
Common Code Type	Single			
Reason-Narrative	· · · · · · · · · · · · · · · · · · ·			
Sender's Reference	NONREF			
Last Update	10/14/09 5:08 AM			
Previous Reference	700 0.00 Mai			
Deal Reference				
Common Reference				
Safekeeping Account	89529 - ARN AMRO /UK NO.	COME PIZZO ===		
Quantity of Financial Instrument	89529 - ABN AMRO (HK) NOM 300,000	OUND, BKING CLT		
Quantity Type	Face Amount			
Processing Indicator				
Requested Settlement Date	10/14/09			
Trade Date/Time	10/14/09			
Closing Date	19.1 1103			
Priority	Normal Priority			
Settlement Amount	the state of the s			
Currency				
Guaranteed Delivery	'No	*		
Deal Price				
Deal Price Currency				
Deal Price Format				
Taxable Income Per Share	ŧ			
Taxable Income Per Share Currency				
Taxable Income Per Share Format				
FX Currency				
Delivery Without Matching	Yes			
Deal				
Trano	CA73905			
Common				
Transaction Indicator	Settlement Activity			
:Actual Settled Amount				
Exp./Eff. Settlement Date	10/14/09			
Exp/Eff. Value Date				
Best Matching Instruction				
Settlement Parties	1			
Clearstream Depository	[6B] BKNY MELLON, LDN			
BIC Address	IRVTGB2XGPT			
Place of Settlement	CEDELULLXXX - Clearstream			
Physical Transaction	No			
Immediate Release	No			
Delivering Agent	Clearstream			
Party	89529			
Description	UNPUBLISHED			
Settlement parties :				
Receiving Agent	Clearstream - 89529			
Settlement Processing Narrative	CONSBLOK 000032858651	OCE CONSENT	Varia	
Additional Information	[OOL CONSENT	YOUR INX	
Input Media	Internal			

•

.

:

*

į

.

;

1

08-13555-mg Doc 55570 Filed 06/15/17 Entered 06/15/17 15:21:38 Main Document Pg 42 of 73

KatharineCheung	Clearstream Banking - CreationOnline	5:30 AM CET
Type Clearstream CA Notification	Reference 02M4FAD0039M 000000065490410	- 1

i

*

.*

.

.

ŧ

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS_

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptey filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptey Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com/as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim
To receive acknowledgment of your filing, you
may either enclose a stamped self-addressed
envelope and a copy of this proof of claim, or you
may access the Claims Agent's system
(https://www.lehman-docket.com) to view your
filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptey court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code {11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

4,0

親爱的客戶:

有關留曼兄弟破產 一提交索假證明表格

我們得悉閣下回覆要求本行就電曼破產申索法律程序提供協助。

随函时上已準備有關關下持有於普曼計劃證券("Lehman Program Securities")下所列的每個證券系列之需要計劃證券案價證明表格("Lehman Program Securities Proof of Claim"),以供閣下提出中案:

為協助閣下核對及填妥裝格上的資料,調象閱閱兩附上當受計劃證券索價證明之指引("Guidance Note to Lehman Program Securities Proof of Claim")及有關票據之文件。如有任何疑問或需要,我們想切建議閣下尋求獨立法律意見。

重要程序

- 此表格上的資料乃根據本行紀錄代客戶填寫。敬請閱下<u>仔細閱讀有關文件及核對表格上之資料</u>是否與所 報於有關票據之文件相同。
- 関下必須以英文填妥表格上其餘部份,如有需要。関下可以於原稿上作出修正,或可於 http://www.lehman-docket.com。壁點"Bar Date Information and Forms" 下載空白表格。
- 3. 關下譜於於 2009 年 11 月 2 日下午五時正或之前 (美國東岸時間) 將已填妥之申素證明表格正本送抵至 "Lehman Brothers Holdings Claims Processing, c/o Epiq Bankruptcy Solutions, LLC" , 而地址已載於填寫素價證明之指引內、輔閣下複印案價證明以作紀錄。随前已附上供郵寄的信封以便關下使用,如表格未能於上述限期前送抵"Epiq Bankruptcy Solutions", 閣下之中索將不會受理及閣下將不獲中案賠償(如有的話)。

敬請閱下組<u>閱</u>與寫案價證明之指引。如對醫曼破產案價證明程序上有任何疑問、請瀏費 http://www.lehman-docket.com。閱下亦可聯絡客戶經理或致電客戶服務熟線+852 2176 8822。

荷剛銀行 謹啓

2009年10月23日

Royal Preferred Banking



ABN AMRO Bank N.V.

SUN WENHUA QIN LAO HU TONG 21 HAO DONG CHENG QU BEIJING CHINA (Ref. no.: SN_0000113442_136)

23 October 2009

Dear Customer,

Bankruptcy of Lehman Brothers - Filing of Proof of Claim Form

We are in receipt of the "Letter of Agreement" by which you requested the Bank's assistance with preparing a claim in the Lehman bankruptcy legal proceedings.

Enclosed is a "Lehman Program Securities Proof of Claim" prepared for each series of notes you hold which have been listed in the Lehman Program Securities by which you can file your claim.

To help you verify and complete the information on the form, we attach a "Guidance Note to Lehman Program Securities Proof of Claim" on how the Proof of Claim Form should be completed, together with the supporting information related to the notes you hold. You are strongly advised to seek independent legal advice if you have any questions or as you consider appropriate.

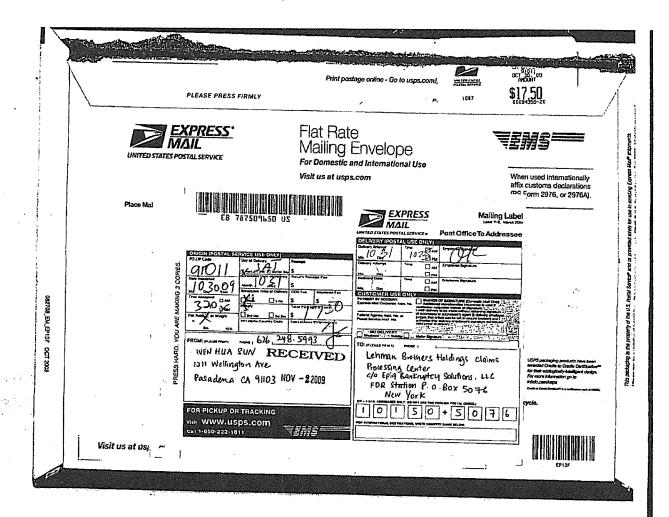
Important Steps

- The form/s is/are prepared on your behalf based on the information we have in our records. It is
 important that you <u>read the documents carefully</u> and <u>verify the information</u> against the supporting
 information provided by the Bank.
- You must complete the rest of the claim form/s and must write in English. If it is needed, you can make
 manuscript amendment to the form, or if you prefer, you can also download and print out a blank form
 from http://www.lehman-docket.com by clicking on the "Bar Date Information and Forms" section.
- 3. To file the claim, you must return the signed original Proof of Claim form/s to the "Lehman Brothers Holdings Claims Processing, c/o Epiq Bankruptcy Solutions, LLC" at the address set out in the attached Guidance Note on or before the filing deadline on 2 November 2009 at 5:00 (ET). Please make a copy for your own record. A pre-addressed envelope is enclosed for your convenience. If your claim form/s is/are not received by Epiq Bankruptcy Solutions on or before the above filing deadline, your claim will not be accepted, nor will you be entitled to receive any return on or distribution with respect to any claims if allowed.

Please refer to the Guidance Note for details. For more information related to the filing of claims in the Lehman bankruptcy proceedings, you can also refer to http://www.lehman-docket.com. In the meantime, should you have any questions, please contact our Relationship Manager or Customer Service at +852 2176 8822.

Yours faithfully,

ABN AMRO Bank N.V.



United States Bunkruptcy Court/Southern District of New York	E
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
	Filed: USBC - Southern District of New York
In Re: Chapter 11 Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	Lehman Brothers Holdings Inc., Et Al.
Debtors. (Jointly Administered)	08-13555 (JMP) 0000061150
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17,,2009	
Name and address of Creditor: (and name and address where notices should be s	ent if different from Creditor) Check this box to indicate that this
SUN WENHUA QIN LAO (10 TONG 21 HDO) (Claim amends a previously filed claim,
Name and address of Creditor: (and name and address where notices should be s SUN WENHUA QIN LAO HU TOWG 21 HAO, C BEIJING, CHINA.	(If know)
Telephone number: 1-626-248-5993 Email Address: Mohaw	1.101@holmail.com Filed on:
Wen Hua Sun	Check this box if you are aware that anyone else has filed a proof of claim
1211 WELLINGTON AVE. PASADENA, CA 9/10. Telephone number: 1-626-2985993 Email Address: MOKON.	relating to your claim. Attach copy of statement giving particulars
 Provide the total amount of your claim based on Lehman Programs Securit? Programs Securities as of September 15, 2008, whether you owned the Lehman I and whether such claim matured or became fixed or liquidated before or after Sel dollars, using the exchange rate as applicable on September 15, 2008. If you are soon may attach a schedule with the claim amounts for each Lehman Programs Sel 	es. Your claim amount must be the amount owed under your Lehman Programs Securities on September 15, 2008 or acquired them thereafter, stember 15, 2008. The claim amount must be stated in United States
Creditor holds USD 300, 000 in aggregate face value of the Lehman Programs determined.	
Amount of Claim: \$ (as statement above) (Require	d)
71 61 101 10 101	
191 United this hay if the amount of claims in challes increase as achieved.	100
Check this box if the amount of claim includes interest or other charges in ac 2. Provide the International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may an which this claim relates.	Idition to the principal amount due on the Lehman Programs Securities, climan Programs Security to which this claim relates. If you are filing ach a schedule with the ISINs for the Lehman Programs Securities to
this claim with respect to more than one Lehman Programs Security, you may att	chman Programs Security to which this claim relates. If you are filing ach a schedule with the ISINs for the Lehman Programs Securities to
this claim with respect to more than one Lehman Programs Security, you may att which this claim relates.	chman Programs Security to which this claim relates. If you are filing ach a schedule with the ISINs for the Lehman Programs Securities to (Required) for which you are filing a claim. You must acquire a Blocking
Trovide the Clearstream Bank Blocking Number (ISIN): XS03288 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates. Clearstream Bank Blocking Number. Euroclear Bank Electronic Instruction	chman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to its 186515 (Required) The Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking such securities on your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this
The Provide the International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may all which this claim relates. International Securities Identification Number (ISIN): XS03288 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electror as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates.	chman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to its 186515 (Required) The Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking such securities on your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this
International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may att which this claim relates. International Securities Identification Number (ISIN): XS03288 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number.	chman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to 186515 (Required) The Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking much securities or your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this Reference Number and or other depository blocking reference
2. Provide the Clearstream Bank Blocking Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may an which this claim relates. 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number. CA73905 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participally you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank accountholder (i.e. the bank, broker or other entity that holds such securities on you numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participals.	chman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to its aschedule with the ISINs for the Lehman Programs Securities to its Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking such securities or your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this Reference Number and or other depository blocking reference in account number related to your Lehman Programs Securities for which car Bank or other depository participant account number from your behalf). Beneficial holders should not provide their personal account
2. Provide the Clearstream Bank Blocking Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may an which this claim relates. 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number. CA73905 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participally you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank, Euroclear Bank or Other Depository Participal (i.e. the bank, broker or other entity that holds such securities on younders). Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participal (Required)	chman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to its as schedule with the ISINs for the Lehman Programs Securities to its Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking much securities or your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this Reference Number and or other depository blocking reference in account number related to your Lehman Programs Securities for which car Bank or other depository participant account number from your behalf). Beneficial holders should not provide their personal account ticipant Account Number:
Trovide the Clearstream Bank Blocking Number. (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may an which this claim relates. International Securities Identification Number (ISIN): XS03281 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds a to more than one Lehman Programs Security, you may attach a schedule with the claim relates. Clearstream Bank Blocking Number. Euroclear Bank Electronic Instruction number: CA73905 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participa you are filing this claim. You must acquire the relevant Clearstream Bank, Eurocleacountholder (i.e. the bank, broker or other entity that holds such securities on you numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participal Securities on the Consent to Euroclear Bank. Clearstream Bank or Other Depository: By consent to, and are decined to have authorized, Euroclear Bank, Clearstream Bank disclose your identity and holdings of Lehman Programs Securities to the Debtors preconciling claims and distributions.	chiman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to 186515 (Required) The Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking much securities or your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this reference Number and or other depository blocking reference in account number related to your Lehman Programs Securities for which ear Bank or other depository participant account number from your behalf). Beneficial holders should not provide their personal account ticipant Account Number: Thing this claim, you for other depository to for the purpose of
International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may an which this claim relates. International Securities Identification Number (ISIN): XS03281 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number. CA73905 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participal you are filing this claim. You must acquire the relevant Clearstream Bank, Eurocleacountholder (i.e. the bank, broker or other entity that holds such securities on you numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participal (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank disclose your identity and holdings of Lehman Programs Securities to the Debtors preconciling claims and distributions. Date: Signature: The person filing this claim must sign it. Sign and processed in the creditor or other person authorized to file this claim and state number if different from the notice address above. Attach copy of the creditor or other person authorized to file this claim and state number if different from the notice address above. Attach copy of the creditor or other person authorized to file this claim and state number if different from the notice address above. Attach copy of the creditor or other person authorized such address above.	chiman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to its Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking such securities or your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this reference Number and or other depository blocking reference in account number related to your Lehman Programs Securities for which car Bank or other depository participant account number from your behalf). Beneficial holders should not provide their personal account ticipant Account Number: Filing this claim, you for other depository to for the purpose of int name and title, if any, of address and telephone if power of attorney, if any.
International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may an which this claim relates. International Securities Identification Number (ISIN): XS03281 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number. CA73905 (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participa you are filing this claim. You must acquire the relevant Clearstream Bank, Eurocleacountholder (i.e. the bank, broker or other entity that holds such securities on you numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participal you are filing this claim. You must acquire the relevant Clearstream Bank. Eurocleacountholder (i.e. the bank, broker or other entity that holds such securities on you numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank disclose your identity and holdings of Lehman Programs Securities to the Debtors preconciling claips and distributions. Date. Signature: The person filing this claim must sign it. Sign and programs authorized to file this claim and state number if different from the notice address above. Attach copy of the creditor or other person authorized to file this claim and state number if different from the notice address above. Attach copy of the person authorized in the creditor of other person authorized to file this claim and state number if different from the notice address above.	chiman Programs Security to which this claim relates. If you are filing such a schedule with the ISINs for the Lehman Programs Securities to its Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking such securities or your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this reference Number and or other depository blocking reference in account number related to your Lehman Programs Securities for which car Bank or other depository participant account number from your behalf). Beneficial holders should not provide their personal account ticipant Account Number: Filing this claim, you for other depository to for the purpose of int name and title, if any, of address and telephone if power of attorney, if any.

ė.

.

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptey cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of antomey. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing & Epiq Bankruptey Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009

INFORMATION

Acknowledgment of Filing of Claim To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lchman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptey court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptey Code [1] U.S.C. § 101 et seq.), and any applicable orders of the bankruptey court.

4

I

t r

† 1

i i

í

	eCheung	Clearstream Banking - Creati	onOnline	5:26 AM (
•	Security Post Release			
	Trade Details	- 1-45 max 2		
	Type of Settlement Transaction Pool Reference	Securities Blocking		
	Function of Message	New Massage		
	Instruction Type	New Message Receive Free		
	Activity-Status	Settlement-Settled		
	ISIN Code	XS0326865671		
	Common Code	032686567		
	Financial Instrument Description	USD 35,04 LEHMAN BROS.TRE	AS 07-2009	
	Place of Safekeeping	IRVTGB2XGPT	3.000	
	Common Code Type	Single		
	Reason-Narrative			
	Sender's Reference	NONREF		
	Last Update Previous Reference	10/14/09 5:05 AM		
	Deal Reference			
	Common Reference			
	Salekeeping Account	89529 - ARN AMPO /UPCNOSA	COUR DIVING	
	Quantity of Financial Instrument	89529 - ABN AMRO (HK) NOM-0 320,000	CONS. BKING CLT	
	Quantity Type	Face Amount		
	Processing Indicator			
	Requested Settlement Date	10/14/09		
	Trade Date/Time	10/14/09		
	Closing Date			
	Priority Settlement Amount	Normal Priority		
	Currency			
	Guaranteed Delivery	¡No.		
	Deal Price	1140		
	Deal Price Currency			
	Deal Price Format			
	Taxable Income Per Share			
	Taxable Income Per Share Currency			
	Taxable Income Per Share Format			
	FX Currency			
	Delivery Without Matching Deal	Yes		
	Trano	CATROCT		
	Common	CA73897		
	Transaction Indicator	Settlement Activity		
	Actual Settled Amount	Someth Zebuny		
	Exp./Eff. Settlement Date	10/14/09		
	Exp./Eff. Value Date			
	Best Matching Instruction			
	Settlement Parties Clearstream Depository	(CD) Breath and a second		
	BIC Address	[6B] BKNYMELLON, LDN		
,	Place of Settlement	IRVTGB2XGPT CEDELULLXXX - Clearstream		
	Physical Transaction	No.		
	Immediate Release	No		
	Delivering Agent	Clearstream		
	Party	89529		
	Description	UNPUBLISHED		
	Settlement parties :			
	Receiving Agent	Clearstream - 89529		
.3	Settlement Processing Narrative	CONSBLOK 000032686567	OCE CONSENT	YOUR INX
	Input Media	J		- 4
•	References:	Internal		

Page 1/2

ŗ

, 4,.

,

î

1

,

į

.

KatharineCheung Clearstream Banking - CreationOnline 5:26 AM CET

Type Reference
Clearstream 02M4FA000397
CA Notification 0000000065490318

arineCheung	Clearstream Banking - CreationOnline	5:30 AM CE
 Security Post Release 		
Trade Details	1	
Type of Settlement Transactio	n Securities Blocking	
Pool Reference		
Function of Message	New Message	
Instruction Type	Receive Free	
Activity-Status	Settlement-Settled	
ISIN Code	XS0328586515	
Common Code	032858651	
Financial Instrument Description		
Place of Safekeeping	IRVTGB2XGPT	
Common Code Type	Single	
Reason-Narrative		
Sender's Reference	NONREF	
Last Update	10/14/09 5:08 AM	
Previous Reference	1911-1100-0100-944	
Deal Reference		
Common Reference		
Safekeeping Account	89529 - ABN AMRO (HK) NOM-CONS, BKING CLT	
Quantity of Financial Instrumen	it 300,000	
Quantity Type	Face Amount	
Processing Indicator		
Requested Settlement Date	10/14/09	
Trade Date/Time	10/14/09	
Closing Date		
Priority	Nomal Priority	
Settlement Amount	,	
Currency		
Guaranteed Delivery	No	
Deal Price		
Deal Price Currency		
Deal Price Format		
Taxable Income Per Share		
Taxable Income Per Share Cum	ency	
Taxable Income Per Share Form	nat	
FX Currency		
Delivery Without Matching	Yes	
Deal		
Trano	CA73905	
Common		
Transaction Indicator	Settlement Activity	
Actual Settled Amount		
Exp./Eff. Settlement Date Exp./Eff. Value Date	10/14/09	
Best Matching Instruction		
Settlement Parties	1	
Clearstream Depository	(CD) OKNIVLACI I ON A PAR	
BIC Address	(6B) BKNY MELLON, LDN	
Place of Settlement	IRVTGB2XGPT	
Physical Transaction	CEDELULLXXX - Clearstream	
Immediale Release	No No	
Delivering Agent		
Party	Clearstream 89529	
Description		
Settlement parties :	UNPUBLISHED	
Receiving Agent	Clearstroom opens	
Settlement Processing Narrative	Clearstream - 89529	
Additional Information	CONSBLOK 000032858651 OCE CONSENT	YOUR INX
Input Media	Internal	

Kath	arineCheung	Clearstream Banking - CreationOnline	5:30 AM CET
•.	Type Clearstream CA Notification	Reference 02M4FA00039M 000000065490410	- ,

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The ereditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debi that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing clo Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docker.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the ereditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(c), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

4

*

.

ŧ .

.

!

.

親愛的客戶:

有關留曼兄弟破產一提交索假證明表格

我們得悉閣下回覆要求本行就雷曼破產申索法律程序提供協助。

随函附上已準備有關閣下持有於雷曼計劃證券("Lehman Program Securities")下所列的每個證券系列之雷 曼計劃證券素價證明表格("Lehman Program Securities Proof of Claim"),以供閣下提出申索。

為協助閣下核對及填妥表格上的資料,請參閱隨函附上電受計到證券索償證明之指引("Guidance Note to Lehman Program Securities Proof of Claim")及有關票據之文件。如有任何疑問或需要,我們懇切建讓閣下尋求獨立法律意見。

重要程序

- 此表格上的資料乃根鄉本行紀錄代客戶填寫,敬請閱下<u>仔細閱讀有關文件及核對表格上之資料</u>是否與所 載於有關票據之文件相同。
- 2. 閣下必須以英文填妥表格上其餘部份、如有需要。閣下可以於原稿上作出修正、或可於 <a href="http://www.lehman-docket.com/www.leh
- 3. 閣下帶於於 2009 年 11 月 2 日下午五時正或之前 (美國東岸時間) 將已填安之申索證明表格正本途括至 "Lehman Brothers Holdings Claims Processing, c/o Epiq Bankruptcy Solutions, LLC" ,而地址已稅於填寫索償證明之指引內,請閣下複印索償證明以作紀錄,頗函已附上供郵寄的個封以便閣下使用,如表格未能於上述限期前送抵"Epiq Bankruptcy Solutions",閣下之申索將不會受理及閣下將不獲申索賠償(如有的話)。

敬請閣下組則與寫案價證明之指引·如對個曼破產案價證明程序上有任何疑問·請瀏覽 http://www.lehman-docket.com·閣下亦可聯絡客戶經理或致電客戶服務熱線+852 2176 8822:

荷爾銀行 隨啓

2009年10月23日

Royal Preferred Banking



ABN AMRO Bank N.V.

SUN WENHUA QIN LAO HU TONG 21 HAO DONG CHENG QU BEIJING CHINA (Ref. no.: SN_0000113442_136)

23 October 2009

Dear Customer,

Bankruptcy of Lehman Brothers - Filing of Proof of Claim Form

We are in receipt of the "Letter of Agreement" by which you requested the Bank's assistance with preparing a claim in the Lehman bankruptcy legal proceedings.

Enclosed is a "Lehman Program Securities Proof of Claim" prepared for each series of notes you hold which have been listed in the Lehman Program Securities by which you can file your claim.

To help you verify and complete the information on the form, we attach a "Guidance Note to Lehman Program Securities Proof of Claim" on how the Proof of Claim Form should be completed, together with the supporting information related to the notes you hold. You are strongly advised to seek independent legal advice if you have any questions or as you consider appropriate.

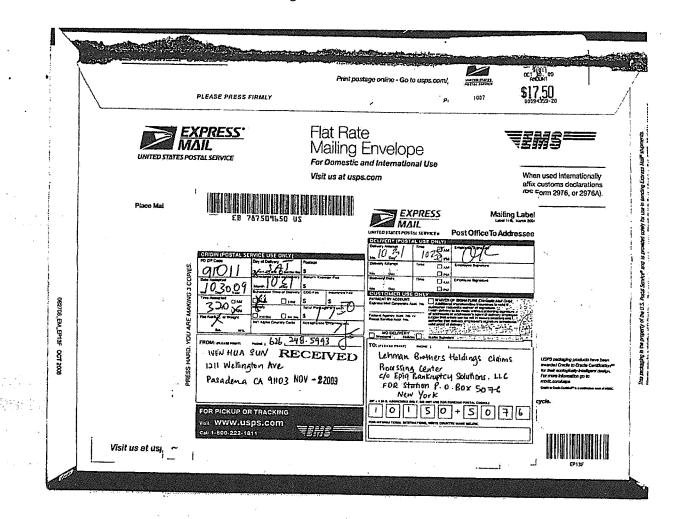
Important Steps

- The form/s is/are prepared on your behalf based on the information we have in our records. It is
 important that you read the documents carefully and verify the information against the supporting
 information provided by the Bank.
- You must complete the rest of the claim form/s and must write in English. If it is needed, you can make
 manuscript amendment to the form, or if you prefer, you can also download and print out a blank form
 from http://www.lehman-docket.com by clicking on the "Bar Date Information and Forms" section.
- 3. To file the claim, you must return the signed original Proof of Claim form/s to the "Lehman Brothers Holdings Claims Processing, c/o Epiq Bankruptcy Solutions, LLC" at the address set out in the attached Guidance Note on or before the filing deadline on 2 November 2009 at 5:00 (ET). Please make a copy for your own record. A pre-addressed envelope is enclosed for your convenience. If your claim form/s is/are not received by Epiq Bankruptcy Solutions on or before the above filing deadline, your claim will not be accepted, nor will you be entitled to receive any return on or distribution with respect to any claims if allowed.

Please refer to the Guidance Note for details. For more information related to the filing of claims in the Lehman bankruptcy proceedings, you can also refer to http://www.lehman-docket.com. In the meantime, should you have any questions, please contact our Relationship Manager or Customer Service at +852 2176 8822.

Yours faithfully,

ABN AMRO Bank N.V.



Schedule of 7th, 8th, 9th, and 10th Distributions Received From Lehman Brothers Treasury BV

12	P. 18.10.10.10.10.			ļ												
anar Paner			Princepa	Monoton	Admiss	Admissible Amount lisur Notional	lisur	Yotional	Maturity	LBT 10th	=	LBT9th Dietribusian		LBTSth	LBT8th Distribution LBT	
XS0327940929 Leh	2	chman Brothers Treasury Co BV	AUD	1,770,000 00	AUD	663,755.35	AUD	2,310,000,00	90VON20	Q IV	19 301 73		13 385 35	GUV	7 731 50	10
	-5	Lehman Brothers Treasury Co BV	HKD	14,240,000 00	HK0	1,447,566.19	IKD.	27,360 000 00	2200709	EX	89,491 67		117,537.93	EK	11 (19)	
_	۴	Lehman Brothers Treasury Co BV	9	12,390,000-00	HKD	01 526 251	EKD	21,150,100 00	2300700	2	101.448 40	3	133,242,60	9	75.513.84	
_	<u></u>	رة <u>9</u>	HKD	22,548,000 00	EKD.	1,491,243 85	H.U	31,790,000.00	270CT0%	HKD	115,592.11	13.	164.952.05	2	19 184 6	
	<u> </u>	BV.	HKD	9,838,909 66	HKD	1.183.527.62	HKD	17,940,000 00	270CT09	53	77,020 08	9	101,170 74	<u>3</u>	57 337 43	
		 	935	4,440,000 00	IKD	188,596 61	IKD	8.110,000.00	60XOXE0	E C	31,773.34	HKD	41,730.95	EX	23 650 55	_
=	_	ě	HKD.	4,090,000 00	HKD	Val. 134 64	IKD	22,070,000,00	POVONVA	2	47,129 09	2	61,899 13	IKD	15,080.57	E C
XS0332153867		S BV	HKD	6.810.000.00	HKD	802,157.78	HKD	11,210,000 00	SUNONOE	EKD	57.883 08	EXD	76,023 35	O.X	43.085.44	CX
NS0334922860		Lehman Brothers Treasury Co BV	HKD	14,560,000 00	HKD	55 029 020	E.C.	21,860,000 00	14DEC(19)	1	11,567.11	HE	101,797 46	HKD	57.692.63	E K
XS0335743368		Lehman Bruthers Treasury, Co BV	HKB	13,630,000,00	HKD.	724,123.67	EKD	20.060,000 00	31DECO*	E.D	58,4417 39		76 757 95	9	43,501,75	2
NS(33956)(376		. A	HKD	7,356 900 60	IKD	449,480.25	HKD	12,320,000 00	ISIANIB	H.D	31.852.06	-	41 534 34	EX.	23,700 13	E.
NS0348407411		Lehman Brothers Treasury Co BV	HED	18,850,000 00 1	1KD	1,343,007.21	Ξ.	27,726,000 08	UZMARON	HKD	108,479.27	92	142,476 14	135	80.746.87	_=
XS1349512250		Lehman Brothers Treasury Co BY	IKD	13,570,000 00	HKD	1,1019,936 12	HKD	19, \$ let, tellet the	05MAR09	1K.5	82,174 97	IKD	107,928 30	E	61,167.13	_
XS0325841368	_	Co BY	8	1.220,000.00	CSD	1.009,984.70	8	2,380,000.00	22OCT09	OS:	7,404.34	8	10,417.87	OSA	\$ 901.00	-
NS032602625		Lehman Brothers Treasury Co BV	2 5	CHANGE OF	(S)	K35,417 43	CSD	1,180 000 00	230CTu*	OSO	E. 809 73	OS:3	8,475 14	S	5,084 60	
NS0326008279		Lehman Brothers Treasury Co BV L	83	1 00 000 0rt T	CSD	1,402,407 79	CSD	1,850,900.90	27OCT 09	OS.	13,509 73	B	17,884.84	cisa	10,132 07	
NS)326a08436		Brothers Treasury Co BV 1	œ,	8 60.000 aur L	93	564,605 73	CS3	1,550,000 00	270CT09	CSD	1,782.77	OS)	6,303.67	CSD	3,571 14	
XS0326708491		Brothers Treasury Co BV	GE)		CSD	732,570 88	CSD	1,810,000 000	270CT09	080	7,229 78	CSD	9.528.81	CSD	5,348 23	CSD
X91326805671	-	Brothers Treasury Co. BV	93	_	GS.	420,065 33	GS 2	1,220,000 (9)	29OCT09	ß	3,978 90	œ	5,244,25	QS	2,970.96	
XSv327465430		프 원 양	8	30,000,00	6	419,964 an	OS:1	446 (00 nn.	02NOV8W	<u>8</u>	100 76	<u>6</u>	26,3 28	CS.	144 15	83
XS0328586515		ره 8۷ <u>-</u>	GS.	1,440,000,00	S	792,109.35	S	1,570,900,00	96NON#0	GS.	11,427.95	8	13,743 49	93	7,386.29	
NS0331400027		Brothers Treasury Co. BV	<u> </u>	2,026,000,00	GS)	1,383,415,35	uSD.	2,800,000 00	23NOVP9	OS:	15,237.50	3	29,082.95	(SD	11,377.34	CSD
XS0331400530		Brothers Treasury Co. BV	OS 7	2,050,000 00 [CSD	1,200,606.89	CSD	2,436,000.00	PANONES	CSI	15,46,3 80	9	20,381 21	CSD	11,546.31	
XS0331504745		Brothers Treasury, Co. BV	OS)	00 000 nau	8	745,425 94	CSD	1,410,000 00	23NOVR9	S	1,569 32	OS)	7.340.34	CS.	4,158,42	CSD
XS1231764454		H.	GS.	2.550,000 001 0	GS.	3,153,300 3tc	CSD	5,780 one un-	PHYONE	8	21,239 56	S	27.993 n.4	CSD	15.858.87	CSD
XS0331769538		EV.	93	2,780,000 ms L	250	2,476,341 22	S	1,310,000 00	23500009	CSD	17 725.85	GSD	32,063.79	CED	18,164 67	_
XS0336250229		ä	23		(<u>S</u>	029,647.31	CS.	3,380,000,00	SIDECON	OS.	27 079%	83	8,749.95	CSD	4,985.31	CS)
(5)330415413	<u> </u>	Lehnsan Brothers Treasury Co BV 13	G	-	SS	837,356 14	GS.	2,060,000,00	(7DEC09	8	47 9300,V	Œ	11,942 02	CSD	6,765.34	G
XS1336645089	<u> </u>	Brothers Treasury Co. BV	9	2,170,000 00 [U	OSD.	808,152.55	CSD	2,750,000 00	29DEC09	S	9,736, 17	8	12,872.23	(ISI)	7,269 68	GSD
NS0336927909	<u> </u>	Lehman Brothers Treasury, Co. BV (U)	S	2,250,000,000 [U	95	2,080,010.87	CSO	3,190,000 00	29DEC09	S	22,3118,83	S	29,521 54	CSD	16,724 44	_
NS0337553688	<u> </u>	Brothers Treasury, Co. BV	GS.	1,410,000 00 11.1	CSO	671,25n.57	CSD	2,110,000 00	31 DECO	OS)	0,848 46	Œ	9,026,24	CS)	5,113.51	
XS0338525172		Brothers Treasury Co. BV	Ð		CSD	181,498,22	OS:	580,000,00	OAJANIO	OSO.	2,162 16	B	2,774163	OSO	1,569 6.1	OSO
XS0339560293		Brothers Treasure Co. BV	OS:	970,000 0ii	GS)	778,043 43	CSD:	2,750 ngu da	OLNVER	S	4.189 9h	Œ	5,522,34	OS)	1 128 50	CSS
XS0341160082		Brothers I reason; Co. BV	8		OS)	-	<u>8</u>	2,040,000 mp	MANNE	GS.	2332 04	GSD	3,069 44	CSD	1,733.79	GS.
XS0349511872		Brothers Treasury Co BV	G 5.1		CSD I	-	CS.	4,280,000,00	05MAR09	OS.	17,114.57	CSD	22,556 91	GS.3	12,778 87	S
XX0550571377		à	8	_	S	382, 166, 57	9	9(0),000 80	HMARO	S	1,717,2 57	S	2,323 06	rsd.	1316 06	CSD
NS0369799545		chman Brothers Treature Co. RV 112	Ģ	185 000 00 11	5	1 25 990 CAST	Ę	2 4 Kin minn n.n.	11111111	63:	10 1111	1,000	30.330.5	110.1	****	25.5

Description of Security

45.85.2 38 (3.18 48.35.2 38 (3.18 48.3 38 (3.19 182.3 38 (3.19 182.3 38 (3.19 182.3 38 (3.19 182.3 38 (3.19 182.3 38 (4.17 182.3 38 (4.17 182.3 38 (4.17 182.3 38 (4.18 182.3 38 (